

Chapter 2: Banach and Hilbert Spaces

(30)

2.1 Vector spaces

General assumption: $X \neq \{0\}$ is a K -vector space, $K \in \{\mathbb{R}, \mathbb{C}\}$.

Definition 2.1 | Let $\emptyset \neq M \subseteq X$.

- (i) M is linearly independent iff all non-empty finite (!) subsets $F \subseteq M$ are linearly independent, i.e., the following implication holds:

$$\sum_{\substack{f \in F \\ \alpha_f \in K}} \alpha_f f = 0 \implies \alpha_f = 0 \quad \forall f \in F$$

- (ii) M is linearly dependent iff M is not linearly independent.

- (iii) $B \subseteq X$ is a Hamel basis (or algebraic basis) iff

- (1) B is linearly independent
- (2) Every $x \in X$ can be represented as a (finite!) linear combination of elements in B (B spans X).

- (iv) X has finite dimension iff there exists a Hamel basis with $|B| < \infty$. Then $\dim X := |B|$ is called the dimension of X .

- (v) X has infinite dimension iff X does not have finite dimension.

Remark 2.2 | The dimension is well-defined: $|B|$ is the same for every Hamel basis in a given space (Pf: see linear algebra (LA)).

Example 2.3 (a) Consider

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$$C_c := \{x = (x_j)_{j \in \mathbb{N}} \mid x_j \in \mathbb{C} \forall j \in \mathbb{N}, \text{ and } x_j \neq 0 \text{ for only finitely many } j\}$$

(see also exercise; the index 'c' stands for "compact support"; also: C_{00})

Let $e_n := (\dots, 0, 1, 0, \dots)$ with a 1 at the n 'th position.

Claim: $B := \{e_n \mid n \in \mathbb{N}\}$ is a Hamel basis for C_c

(b) Even though ℓ^1 is separable, there exists no countable Hamel basis for ℓ^1 (see exercise).

Theorem 2.4 Every vector space $X \neq \{0\}$ has a Hamel basis

Pf.: Uses Zorn's Lemma; see later.

Corollary 2.5 X has infinite dimension iff For every $n \in \mathbb{N}$

there exists $\Pi_n \subseteq X$ such that $|\Pi_n| = n$ and Π_n is linearly indep.

Pf.: Existence of Hamel basis with $|B| = \infty$ ■

Example 2.6 Infinite dimensional vector spaces:

$C_c, \ell^p, C(X)$ (where $\emptyset \neq X \subseteq \mathbb{R}^d$ open)

2.2. Banach Spaces

Definition 2.7 Let X be a vector space. A map $X \rightarrow [0, \infty)$
 $x \mapsto \|x\|$

is a norm : (\Rightarrow)

$$(1) \|x\| > 0 \quad \forall 0 \neq x \in X$$

$$(2) \|\lambda x\| = |\lambda| \cdot \|x\| \quad \forall \lambda \in \mathbb{K} \quad \forall x \in X \quad (\Rightarrow \|x\| = 0)$$

$$(3) \|x+y\| \leq \|x\| + \|y\| \quad \forall x, y \in X$$

$(X, \|\cdot\|)$ is called a normed space.

If only (2) and (3) hold, $\|\cdot\|$ is called a semi-norm