

# Some multilinear algebra

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See also: Lang *Algebra*, van der Waerden *Algebra I*.

## 1 Multilinear maps

### 1.1 General multilinear maps

We work with vector spaces over a fixed field  $K$ .

Let  $V_1, \dots, V_k, W$  be vector spaces. A map

$$V_1 \times \dots \times V_k \xrightarrow{\mu} W$$

is called *multilinear* if it is linear in each variable, that is, if all maps  $\mu(v_1, \dots, v_{i-1}, \cdot, v_{i+1}, \dots, v_k) : V_i \rightarrow W$  are linear. The multilinear maps form a vector space  $\text{Mult}(V_1, \dots, V_k; W)$ .

Multilinear maps in  $k$  variables are also called *k-linear*. The 1-linear maps are the linear maps, the 2-linear maps are the bilinear maps.

Multilinear maps are determined by their values on bases, and these values are independent of each other. More precisely, if  $(e_{j_i}^{(i)} | j_i \in J_i)$  are bases of the  $V_i$ , then a multilinear map  $\mu$  is determined by the values  $\mu(e_{j_1}^{(1)}, \dots, e_{j_k}^{(k)}) \in W$  for  $(j_1, \dots, j_k) \in J_1 \times \dots \times J_k$ , and these values can be arbitrary, i.e. for any vectors  $w_{j_1 \dots j_k} \in W$  there is a unique multilinear map  $\mu$  with  $\mu(e_{j_1}^{(1)}, \dots, e_{j_k}^{(k)}) = w_{j_1 \dots j_k}$ . Indeed, for the values on general vectors  $v_i = \sum_{j_i \in J_i} a_{ij_i} e_{j_i}^{(i)} \in V_i$ , we obtain the representation

$$\mu(v_1, \dots, v_k) = \sum_{j_1, \dots, j_k} \left( \prod_{i=1}^k a_{ij_i} \right) \cdot \mu(e_{j_1}^{(1)}, \dots, e_{j_k}^{(k)}). \quad (1.1)$$

In particular, if the dimensions of the vector spaces are finite, then

$$\dim \text{Mult}(V_1, \dots, V_k; W) = \left( \prod_j \dim V_j \right) \cdot \dim W.$$

Examples: Products in algebras. Composition  $\text{Hom}(U, V) \times \text{Hom}(V, W) \rightarrow \text{Hom}(U, W)$ . Scalar products, symplectic forms, volume forms, determinant. Natural pairing  $V \times V^* \rightarrow K$ .

## 1.2 The sign of a permutation

Let  $S_k$  denote the *symmetric group* of  $k$  symbols, realized as the group of bijective self-maps of the set  $\{1, \dots, k\}$ . The *sign* of a permutation  $\pi \in S_k$  is defined as

$$\text{sgn}(\pi) = \prod_{1 \leq i < j \leq k} \frac{\pi(i) - \pi(j)}{i - j} \in \{\pm 1\}.$$

It counts the parity of the number of *inversions* of  $\pi$ , i.e. of pairs  $(i, j)$  such that  $i < j$  and  $\pi(i) > \pi(j)$ . The sign is positive if and only if the number of inversions is even, and such permutations are called *even*. Transpositions are odd, and a permutation is even if and only if it can be written as the product of an even number of transpositions. The sign is multiplicative,

$$\text{sgn}(\pi\pi') = \text{sgn}(\pi) \text{sgn}(\pi'),$$

i.e. the sign map

$$S_k \xrightarrow{\text{sgn}} \{\pm 1\}$$

is a *homomorphism* of groups. Its kernel  $A_n$  is called the *alternating group*.

Briefly, the sign map is *characterized* as the unique homomorphism  $S_k \rightarrow \{\pm 1\}$  which maps transpositions to  $-1$ . The remarkable fact is that such a homomorphism exists at all. A consequence is that, when representing a permutation as a product of transpositions, the *parity* of the number of factors is well-defined.

**Remark.** For  $n \geq 5$  the alternating group  $A_n$  is non-abelian and *simple*.

### 1.3 Symmetric multilinear maps

We now consider multilinear maps whose variables take their values in the same vector space  $V$ . One calls such maps also multilinear maps *on*  $V$  (instead of on  $V^n$ ). We abbreviate

$$\text{Mult}_k(V; W) := \text{Mult}(\underbrace{V, \dots, V}_k; W)$$

and denote by  $\text{Mult}_k(V) := \text{Mult}_k(V; K)$  the space of  $k$ -linear forms on  $V$ . Then  $\text{Mult}_1(V) = V^*$  and, by convention,  $\text{Mult}_0(V) = K$ .

A  $k$ -linear map

$$V^k = \underbrace{V \times \dots \times V}_k \xrightarrow{\mu} W$$

is called *symmetric* if

$$\mu(v_{\sigma(1)}, \dots, v_{\sigma(k)}) = \mu(v_1, \dots, v_k) \quad (1.2)$$

for all permutations  $\sigma \in S_k$  and all  $v_i \in V$ .

**Remark.** There is a natural action

$$S_k \curvearrowright \text{Mult}_k(V; W) \quad (1.3)$$

of permutations on multilinear forms given by

$$(\sigma\mu)(v_1, \dots, v_k) = \mu(v_{\sigma(1)}, \dots, v_{\sigma(k)}).$$

Indeed,

$$(\tau(\sigma\mu))(v_1, \dots) = (\sigma\mu)(v_{\tau(1)}, \dots) = \mu(v_{\tau(\sigma(1))}, \dots) = ((\tau\sigma)\mu)(v_1, \dots)$$

for  $\sigma, \tau \in S_k$ . Thus condition (1.2) can be rewritten as

$$\sigma\mu = \mu,$$

i.e.  $\mu$  is symmetric if and only if it is a fixed point for the natural  $S_k$ -action (1.3).

We describe the *data* necessary to determine a symmetric multilinear map.

If  $(e_i \mid i \in I)$  is a basis of  $V$ , then  $\mu \in \text{Mult}_k(V)$  is symmetric if and only if the values on basis vectors satisfy  $\mu(e_{i_{\sigma(1)}}, \dots, e_{i_{\sigma(k)}}) = \mu(e_{i_1}, \dots, e_{i_k})$  for all  $i_1, \dots, i_k \in I$  and  $\sigma \in S_k$ , compare the representation (1.1) of the values on general vectors. Hence, if  $I$  is equipped with a total ordering “ $<$ ”, then  $\mu$  is determined by the values  $\mu(e_{i_1}, \dots, e_{i_k})$  for  $i_1 \leq \dots \leq i_k$ , and these values can be arbitrary.

If dimensions are finite, we conclude that

$$\dim \text{Mult}_k^{\text{sym}}(V; W) = \binom{\dim V + k - 1}{k} \cdot \dim W.$$

Further discussion: Polynomials and symmetric multilinear forms.

## 1.4 Alternating multilinear maps

We now consider a modified symmetry condition for multilinear maps, namely which is “twisted” by the signum homomorphism on permutations:

**Definition (Antisymmetric).** A map  $\mu \in \text{Mult}_k(V; W)$  is called *anti-* or *skew-symmetric* if

$$\mu(v_{\sigma(1)}, \dots, v_{\sigma(k)}) = \text{sgn}(\sigma) \cdot \mu(v_1, \dots, v_k) \quad (1.4)$$

for all  $v_i \in V$  and permutations  $\sigma \in S_k$ .

In terms of the natural action  $S_k \curvearrowright \text{Mult}_k(V; W)$  we can rewrite (1.4) as

$$\sigma\mu = \text{sgn}(\sigma) \cdot \mu, \quad (1.5)$$

for all  $\sigma \in S_k$ .

A closely related family of conditions will turn out to be more natural to work with:

**Lemma 1.6.** The following three conditions on a  $k$ -linear map  $\mu \in \text{Mult}_k(V; W)$  are equivalent:

- (i)  $\mu(v_1, \dots, v_k) = 0$  whenever  $v_i = v_{i+1}$  for some  $1 \leq i < k$ .
- (ii)  $\mu(v_1, \dots, v_k) = 0$  whenever  $v_i = v_j$  for some  $1 \leq i < j \leq k$ .
- (iii)  $\mu(v_1, \dots, v_k) = 0$  whenever the  $v_i$  are linearly dependent.

They imply that  $\mu$  is antisymmetric.

*Proof.* Obviously (iii) $\Rightarrow$ (ii) $\Rightarrow$ (i).

(i) $\Rightarrow$ antisymmetric and (ii): Suppose that (i) holds. The computation

$$\beta(u, v) + \beta(v, u) = \beta(u + v, u + v) - \beta(u, u) - \beta(v, v)$$

for bilinear maps  $\beta$  shows that then (1.4) holds in the general  $k$ -linear case for the transpositions of pairs  $(i, i+1)$  of adjacent numbers. Since these transpositions generate the group  $S_k$ , it follows that (1.4) holds for all permutations  $\sigma \in S_k$ ,<sup>1</sup> that is,  $\mu$  is antisymmetric. The antisymmetry together with (i) implies (ii).

(ii) $\Rightarrow$ (iii): Suppose that the  $v_i$  are linearly dependent. In view of the antisymmetry (implied already by (i), as we just saw), we may assume that  $v_k$  is a linear combination of the other  $v_i$ , that is,  $v_k = \sum_{i < k} a_i v_i$ . Then  $\mu(v_1, \dots, v_k) = \sum_{i < k} a_i \mu(v_1, \dots, v_{k-1}, v_i) = 0$  because of (ii).  $\square$

**Definition (Alternating).** A map  $\mu \in \text{Mult}_k(V; W)$  is called *alternating* if it satisfies (one of) the equivalent conditions (i-iii) of the lemma.

According to the lemma, *alternating multilinear maps are antisymmetric*.

If  $\text{char } K \neq 2$ , then also the converse holds:<sup>2</sup>

<sup>1</sup>The permutations  $\sigma \in S_k$ , for which (1.4) holds, form a subgroup of  $S_k$ .

<sup>2</sup>The field  $K$  has *characteristic*  $\neq 2$ , if  $2 := 1 + 1 \neq 0$  in  $K$ . In this case, 2 has a multiplicative inverse in  $K$ , i.e. one can *divide by 2* in  $K$ . On the other hand, if the field  $K$  has characteristic 2, i.e. if  $2 = 0$  in  $K$ , then  $-1 = 1$  and hence  $-a = a$  for all  $a \in K$ , i.e. there are *no signs* in  $K$ .

**Lemma.** If  $\text{char } K \neq 2$ , then antisymmetric multilinear maps are alternating.

*Proof.* It suffices to treat the bilinear case. An antisymmetric bilinear map  $\beta$  satisfies  $\beta(v, v) = -\beta(v, v)$  for all  $v \in V$ , and hence  $2\beta(v, v) = 0$ . Dividing by 2 yields that  $\beta$  is alternating.  $\square$

Moreover, in characteristic  $\neq 2$  antisymmetry and symmetry are “transverse” conditions; the only multilinear maps, which are both symmetric and skew-symmetric, are the null-maps.

**Remark.** If  $\text{char } K \neq 2$ , then bilinear forms can be uniquely decomposed as sums of symmetric and alternating ones, since

$$\beta(u, v) = \underbrace{\frac{\beta(u, v) + \beta(v, u)}{2}}_{\text{symmetric}} + \underbrace{\frac{\beta(u, v) - \beta(v, u)}{2}}_{\text{anti-symmetric}}.$$

If  $\text{char } K = 2$ , then the relations between the conditions are different. Since there are no signs, skew-symmetry is the same as symmetry, whereas alternation is more restrictive if  $k \geq 2$ .

Since antisymmetry coincides with either alternation or symmetry, depending on the characteristic, alternation is the more interesting condition to consider. We denote by

$$\text{Alt}_k(V; W) \subset \text{Mult}_k(V; W)$$

the  $K$ -vectorspace of alternating multilinear maps, and we write  $\text{Alt}_k(V) := \text{Alt}_k(V; K)$ .

We now describe the *data* determining an alternating multilinear map.

**Lemma 1.7.** If  $(e_i \mid i \in I)$  is a basis of  $V$ , then  $\alpha \in \text{Mult}_k(V; W)$  is alternating if and only if

- (i)  $\alpha(e_{i_1}, \dots, e_{i_k}) = 0$  if some of the  $e_{i_j}$  agree, and
- (ii)  $\alpha(e_{i_{\sigma(1)}}, \dots, e_{i_{\sigma(k)}}) = \text{sgn}(\sigma) \cdot \alpha(e_{i_1}, \dots, e_{i_k})$  for all  $\sigma \in S_k$  if the  $e_{i_j}$  are pairwise different.

*Proof.* The conditions are obviously necessary.

To see that they are also sufficient, we first treat the case  $k = 2$  of a bilinear form  $\beta$ . For a vector  $v = \sum_i v_i e_i$ , assumptions (i+ii) imply

$$\beta(v, v) = \sum_i v_i^2 \underbrace{\beta(e_i, e_i)}_{=0} + \sum_{i < j} v_i v_j \underbrace{(\beta(e_i, e_j) + \beta(e_j, e_i))}_{=0} = 0,$$

where we assume that  $I$  is equipped with a total ordering “ $<$ ”. Thus,  $\beta$  is alternating.

In the general  $k$ -linear case, it follows that the bilinear forms  $\alpha(e_{i_1}, \dots, e_{i_{j-1}}, \cdot, \cdot, e_{i_{j+2}}, \dots, e_{i_k})$  for  $1 \leq j < k$  are alternating, and consequently all bilinear forms  $\alpha(v_1, \dots, v_{j-1}, \cdot, \cdot, v_{j+2}, \dots, v_k)$  since they are linear combinations of the former. Hence condition (i) of Lemma 1.6 is satisfied and  $\alpha$  is alternating.  $\square$

**Corollary.** If  $I$  is equipped with a total ordering “ $<$ ”, then a map  $\alpha \in \text{Alt}_k(V; W)$  is determined by the values  $\alpha(e_{i_1}, \dots, e_{i_k})$  for  $i_1 < \dots < i_k$ , and these values can be arbitrary.

Thus, if dimensions are finite, then

$$\dim \text{Alt}_k(V; W) = \binom{\dim V}{k} \cdot \dim W.$$

In particular,  $\dim \text{Alt}_k(V) = 0$  if  $k > \dim V$  and  $\dim \text{Alt}_k(V; W) = \dim W$  if  $k = \dim V$ .

**Corollary.** *If  $\dim V = k$ , then  $\dim \text{Alt}_k(V) = 1$ , i.e. there exists, up to scalar multiple, a unique non-zero alternating  $k$ -linear form.*

*Moreover, if  $0 \neq \alpha \in \text{Alt}_k(V)$  and  $(e_1, \dots, e_k)$  is a basis of  $V$ , then  $\alpha(e_1, \dots, e_k) \neq 0$ .*

#### 1.4.1 The determinant of a matrix

To represent general values of alternating multilinear maps in terms of the values on a basis, the key computation is the following. For vectors  $v_j = \sum_{i \in I} a_{ji} e_i$ , one obtains:

$$\begin{aligned} \alpha(v_1, \dots, v_k) &= \sum_{i_1, \dots, i_k \in I} \alpha(a_{1i_1} e_{i_1}, \dots, a_{ki_k} e_{i_k}) = \sum_{i_1 < \dots < i_k} \sum_{\sigma \in S_k} \underbrace{\alpha(a_{1i_{\sigma(1)}} e_{i_{\sigma(1)}}, \dots, a_{ki_{\sigma(k)}} e_{i_{\sigma(k)}})}_{(\prod_{j=1}^k a_{ji_{\sigma(j)}}) \cdot \alpha(e_{i_{\sigma(1)}}, \dots, e_{i_{\sigma(k)}})} \\ &= \sum_{i_1 < \dots < i_k} \left( \sum_{\sigma \in S_k} \text{sgn}(\sigma) \cdot \prod_{j=1}^k a_{ji_{\sigma(j)}} \right) \cdot \alpha(e_{i_1}, \dots, e_{i_k}) \end{aligned}$$

The coefficients appearing in this formula are all derived from the same building block:

**Definition (Determinant of a matrix).** The *determinant* of a matrix  $(a_{ij}) \in K^{k \times k}$  is defined as the quantity

$$\det(a_{ij}) := \sum_{\sigma \in S_k} \text{sgn}(\sigma) \cdot \prod_{i=1}^k a_{i\sigma(i)} \in K. \quad (1.8)$$

Note that

$$\det(a_{ij})_{i,j} = \sum_{\sigma \in S_k} \underbrace{\text{sgn}(\sigma)}_{=\text{sgn}(\sigma^{-1})} \cdot \prod_{i=1}^k a_{\sigma^{-1}(i)i} = \sum_{\sigma \in S_k} \text{sgn}(\sigma) \cdot \prod_{i=1}^k a_{\sigma(i)i} = \det(a_{ji})_{i,j}, \quad (1.9)$$

i.e. the determinant of a matrix equals the determinant of its *transpose*.

Matrices may be regarded as tuples of (column or row) vectors, and accordingly the determinant as a function in several vector variables. As such, the determinant of a  $k \times k$ -matrix is the up to scalar multiple unique alternating  $k$ -linear form on  $K^k$ . Indeed, the last corollary, the subsequent computation and (1.9) imply the following *characterization*:

**Theorem 1.10.** *The function  $\det : K^{k \times k} \rightarrow K$  given by (1.8) is*

- (i) *alternating  $k$ -linear in the columns,*
  - (i') *alternating  $k$ -linear in the rows,*
  - (ii) *normalized by  $\det E = 1$ , where  $E \in K^{k \times k}$  denotes the identity matrix.*
- It is uniquely determined by properties (i) and (ii), and also by (i') and (ii).*

Formula (1.8) for the determinant is called the *Leibniz formula*.

Closely related to it is the *Laplace expansion* of the determinant. We denote by  $M_{rs}$  the matrix obtained from  $(a_{ij})$  by cancelling the  $r$ -th row and the  $s$ -th column. Due to the Leibniz formula and the antisymmetry of the determinant in columns and rows, we have that  $\det M_{rs} = (-1)^{r+s} \cdot \det M'_{rs}$ , where  $M'_{rs}$  denotes the matrix obtained from  $(a_{ij})$  by replacing all entries in the  $r$ -th row and the  $s$ -th column by 0, except  $a_{rs}$  which is replaced by 1. The linearity of the determinant in the  $r$ -th row yields the expansion

$$\det(a_{ij}) = \sum_{s=1}^k a_{rs} \det M'_{rs} = \sum_{s=1}^k (-1)^{r+s} a_{rs} \det M_{rs},$$

and similarly the linearity in the  $s$ -th column the expansion

$$\det(a_{ij}) = \sum_{r=1}^k (-1)^{r+s} a_{rs} \det M_{rs}.$$

## 1.4.2 The determinant of an endomorphism

A linear map of vector spaces  $L : U \rightarrow V$  induces natural linear *pull-back* maps

$$\text{Mult}_k(V) \xrightarrow{L^*} \text{Mult}_k(U)$$

of multilinear forms,

$$(L^* \mu)(u_1, \dots, u_k) = \mu(Lu_1, \dots, Lu_k).$$

Alternating forms pull back to alternating ones,  $L^*(\text{Alt}_k(V)) \subset \text{Alt}_k(U)$ .

Suppose now that  $\dim V = k$  and  $L \in \text{End } V$  is an *endomorphism*. Then  $\text{Alt}_k(V)$  is a one-dim vector space and the induced endomorphism  $L^*$  of  $\text{Alt}_k(V)$  must be the multiplication by a scalar. This factor is given by the determinant of a matrix for  $L$ . Indeed, if  $(e_i)$  is a basis of  $V$  and  $(a_{ij})$  the matrix of  $L$  relative to this basis, then  $Le_j = \sum_i a_{ij} e_i$  and, according to our computation above and also invoking (1.9), we have for  $\alpha \in \text{Alt}_k(V)$  that

$$\alpha(Le_1, \dots, Le_k) = \det(a_{ij}) \cdot \alpha(e_1, \dots, e_k), \quad (1.11)$$

that is,

$$L^* \alpha = \det(a_{ij}) \cdot \alpha.$$

In particular,  $\det(a_{ij})$  is *independent* of the chosen basis and it makes sense to define:

**Definition (Determinant of an endomorphism).** The *determinant* of an *endomorphism* of a finite-dim vector space is defined as the determinant of its matrix relative to a basis.

The induced endomorphism  $L^*$  of  $\text{Alt}_k(V)$  can then be written as

$$L^*|_{\text{Alt}_k(V)} = \det L \cdot \text{id}_{\text{Alt}_k(V)}, \quad (1.12)$$

which may be taken as an alternative direct definition of the determinant of an endomorphism.

For endomorphisms  $A, B \in \text{End}(V)$  it holds by the contravariance of pull-back that

$$(AB)^* = B^* A^*.$$

With (1.12) this immediately implies the *multiplication law* for determinants

$$\det(AB) = \det A \cdot \det B. \quad (1.13)$$

It amounts to the fact that the natural map

$$\text{Aut}(V) \xrightarrow{\det} K^* \quad (1.14)$$

from the group of linear automorphisms of  $V$  to the multiplicative group of the field  $K$  given by the determinant is a *group homomorphism*. In particular, for  $V = K^k$  this means that the map  $\text{GL}(k, K) \xrightarrow{\det} K^*$  given by the determinant of matrices is a group homomorphism.

### 1.4.3 Orientation

We now do geometry and work over the field  $K = \mathbb{R}$ . Let  $V$  be a  $n$ -dim vector space.

The most intuitive description of orientations is in terms of *bases*.

Suppose first that  $n \geq 1$ . Let  $\mathcal{B}(V)$  denote the space of ordered bases  $e = (e_1, \dots, e_n)$  of  $V$ . It is a dense open subset of  $V^n$ .

**Theorem 1.15.**  $\mathcal{B}(V)$  has two path components. More precisely:

*Two ordered bases  $e$  and  $e'$  lie in the same path component of  $\mathcal{B}(V)$  if and only if for one and hence every  $0 \neq \alpha \in \text{Alt}_n(V)$  the values  $\alpha(e_1, \dots, e_n)$  and  $\alpha(e'_1, \dots, e'_n)$  have the same sign.*

*Proof.* A form  $0 \neq \alpha \in \text{Alt}_n(V)$  yields a surjective continuous map  $\mathcal{B}(V) \rightarrow \mathbb{R}^*$  by evaluating it on bases, i.e. sending  $(e_1, \dots, e_n) \mapsto \alpha(e_1, \dots, e_n)$ . Hence,  $\mathcal{B}(V)$  is not path connected.

To see that there are at most two path components, we note that any ordered basis  $e$  can be continuously deformed (a continuous deformation of bases being a continuous path in  $\mathcal{B}(V)$ ) by shearings and stretchings (as in the proof of Lemma 1.18) to a permutation of any other ordered basis  $e'$ . Moreover, by rotations we can continuously deform  $e'$  to  $(\dots, e'_{i-1}, -e'_{i+1}, e'_i, e'_{i+2}, \dots)$  for any  $1 \leq i < n$ . Hence,  $e$  can be deformed to one of the two bases  $(\pm e'_1, e'_2, \dots, e'_n)$ .  $\square$

**Definition (Orientation).** An *orientation* of  $V$  is a path component of  $\mathcal{B}(V)$ .

In dimension  $n = 0$ , one defines an orientation of a trivial vector space  $V = \{0\}$  as a choice of sign  $\pm$ . In particular, in this case there is the *natural* orientation  $+$ .

Thus, a finite-dim real vector space has *two* orientations.

If an orientation has been chosen, one calls the ordered bases belonging to this equivalence class *positively* oriented, the others *negatively* oriented, and the vector space *oriented*. An ordered basis determines an orientation, namely the component of  $\mathcal{B}(V)$  containing it.

The *standard orientation* of  $\mathbb{R}^n$  is determined by its standard basis  $(e_1, \dots, e_n)$ .



One can also describe orientations in terms of top degree *alternating multilinear forms*. As a consequence of the second part of the theorem, a *volume form*, that is, a form  $0 \neq \alpha \in \text{Alt}_n(V)$  determines an orientation, namely by defining a basis  $e$  as positively oriented if

$$\alpha(e_1, \dots, e_n) > 0,$$

and all positive multiples of  $\alpha$  yield the same orientation.

One can therefore alternatively define an orientation as a ray component of  $\text{Alt}_n(V) \setminus \{0\}$ .

There is a natural simply transitive right action

$$\mathcal{B}(V) \curvearrowright \text{GL}(n, \mathbb{R}) \tag{1.16}$$

given by

$$e \cdot A = e' \quad \text{with } e'_j = \sum_i a_{ij} e_i$$

for  $A = (a_{ij})$ . The group  $\text{GL}(n, \mathbb{R})$  decomposes as the disjoint union of the open subgroup  $\text{GL}_+(n, \mathbb{R})$  of matrices with positive determinant and its open coset  $\text{GL}_-(n, \mathbb{R})$  of matrices with negative determinant. (Recall that  $\det : \text{GL}(n, \mathbb{R}) \rightarrow \mathbb{R}^*$  is a homomorphism, cf (1.14).)

The above theorem implies a corresponding result for  $\text{GL}(n, \mathbb{R})$ :

**Corollary.** (i)  $\text{GL}(n, \mathbb{R})$  has two path components, namely  $\text{GL}_+(n, \mathbb{R})$ .

(ii) The path components of  $\mathcal{B}(V)$  are the  $\text{GL}_+(n, \mathbb{R})$ -orbits for the action (1.16).

*Proof.* The simple transitivity of the  $\text{GL}(n, \mathbb{R})$ -action on  $\mathcal{B}(V)$  yields that the orbit maps  $o_A : \text{GL}(n, \mathbb{R}) \rightarrow \mathcal{B}(V), A \mapsto e \cdot A$  are homeomorphisms. Thus,  $\text{GL}(n, \mathbb{R})$  is homeomorphic to  $\mathcal{B}(V)$ .

Since  $\alpha(e \cdot A) = \det A \cdot \alpha(e)$  by (1.11), the subgroup  $\text{GL}_+(n, \mathbb{R})$  preserves the path components of  $\mathcal{B}(V)$  and is therefore homeomorphic to both of them and in particular path connected.  $\square$

A linear isomorphism  $L : V \rightarrow V'$  of  $n$ -dim vector spaces induces a homeomorphism  $\mathcal{B}(V) \rightarrow \mathcal{B}(V')$  of spaces of ordered bases and a linear isomorphism  $\text{Alt}_n(V') \rightarrow \text{Alt}_n(V)$  of lines of top-degree alternating multilinear forms. If  $V$  and  $V'$  are oriented, then  $L$  is called *orientation preserving* if it maps oriented bases to oriented bases, and *orientation reversing* otherwise.

A linear automorphism  $L : V \rightarrow V$  preserves orientation if and only if  $\det L > 0$ , cf (1.12).

*Complex* vector spaces have *natural* orientations as real vector spaces. Indeed, as in the proof of the theorem one sees that, if  $W$  is a  $\mathbb{C}$ -vector space with  $\dim_{\mathbb{C}} W = n$ , then the space  $\mathcal{B}_{\mathbb{C}}(W)$  of ordered complex bases is path connected. The image of the natural continuous embedding

$$\mathcal{B}_{\mathbb{C}}(W) \longrightarrow \mathcal{B}_{\mathbb{R}}(W), \quad (e_1, \dots, e_n) \mapsto (e_1, ie_1, \dots, e_n, ie_n)$$

is contained in a path component of  $\mathcal{B}_{\mathbb{R}}(W)$  which one defines to be the natural orientation. Note that the group  $\text{GL}(n, \mathbb{C})$  acts simply transitively on  $\mathcal{B}_{\mathbb{C}}(W)$  and is hence *path connected*.

**Remark.**  $\text{GL}(n, \mathbb{R})$  continuously retracts to  $\text{O}(n)$ , and  $\text{GL}(n, \mathbb{C})$  to  $\text{U}(n)$ .

#### 1.4.4 Determinant and volume

We keep working over the field  $K = \mathbb{R}$ .

Let  $(V, \langle \cdot, \cdot \rangle)$  be a  $n$ -dim euclidean vector space. The scalar product induces a measurement not only of *lengths*, but also of  *$k$ -dim volumes* in any dimension  $1 \leq k \leq n$ . More precisely, it induces a natural  $k$ -dim Lebesgue measure on every  $k$ -dim linear or affine subspace.

For a  $k$ -tuple  $(v_1, \dots, v_k)$  of vectors in  $V$ , we denote by

$$\text{vol}_k(v_1, \dots, v_k)$$

the (non-oriented)  $k$ -dim volume of the parallelepiped  $P(v_1, \dots, v_k)$  spanned by the vectors  $v_i$ . Our aim is to give a concrete formula for the volume in terms of the scalar product.

The 1-dim volume is just the *length*,  $\text{vol}_1(v) = \|v\|$ , equivalently,  $\text{vol}_1(v)^2 = \langle v, v \rangle$ .

The 2-dim volume is the *area*. We compute the area of the parallelogram  $P(u, v)$  as in elementary geometry as “base times height” and obtain using the Pythagorean theorem:

$$\text{vol}_2(u, v)^2 = \|u\|^2 \cdot \left( \|v\|^2 - \left\langle v, \frac{u}{\|u\|} \right\rangle^2 \right) = \|u\|^2 \cdot \|v\|^2 - \langle u, v \rangle^2 = \det \begin{pmatrix} \langle u, u \rangle & \langle u, v \rangle \\ \langle v, u \rangle & \langle v, v \rangle \end{pmatrix} \quad (1.17)$$

This computation can be generalized to arbitrary dimension by induction.

We take another approach and establish a close link between the volume function and alternating multilinear forms. It suffices to consider the top-dimensional case. Let  $(e_i)$  denote an ONB of  $V$ . One has the following *characterization* of the volume:

**Lemma 1.18.** The function  $\text{vol}_n$  is the *unique* function  $V^n \rightarrow [0, \infty)$  with the properties:

- (i) *symmetric*, i.e.  $\text{vol}_n(v_{\sigma(1)}, \dots, v_{\sigma(n)}) = \text{vol}_n(v_1, \dots, v_n)$  for  $\sigma \in S_n$  and  $v_i \in V$ .
- (ii) invariant under *shearing* (Cavalieri principle), i.e. it holds that

$$\text{vol}_n(v_1 + w, v_2, \dots, v_n) = \text{vol}_n(v_1, v_2, \dots, v_n)$$

for  $v_i \in V$  and any vector  $w$  in the span of  $v_2, \dots, v_n$ .

- (iii) positively homogeneous under *stretching*, i.e.

$$\text{vol}_n(a_1 v_1, \dots, a_n v_n) = |a_1 \cdot \dots \cdot a_n| \cdot \text{vol}_n(v_1, \dots, v_n)$$

for  $v_i \in V$  and  $a_i \in \mathbb{R}$ .

- (iv) *normalized* by  $\text{vol}_n(e_1, \dots, e_n) = 1$ .

*Sketch of proof:* The properties (i-iv) are clearly satisfied by  $\text{vol}_n$ . On the other hand, they determine  $\text{vol}_n$ , because any  $n$ -tuple  $(v_i)$  can be transformed by shearings and permutations in finitely many steps to a tuple  $(a_i e_i)$  of multiples of the reference ONB vectors.  $\square$

These properties bear strong similarities to the properties of top-degree alternating multilinear forms and the determinant. In fact, for every form  $0 \neq \alpha \in \text{Alt}_n(V)$ , its absolute value  $|\alpha|$

satisfies properties (i-iii) of the lemma. Consequently, due to uniqueness of  $\text{vol}_n$ ,

$$\text{vol}_n(v_1, \dots, v_n) = \left| \frac{\alpha(v_1, \dots, v_n)}{\alpha(e_1, \dots, e_n)} \right|. \quad (1.19)$$

Note that, as a consequence, there exists a unique up to sign form  $\omega \in \text{Alt}_n(V)$  whose absolute value equals the volume,

$$\text{vol}_n = |\omega|.$$

If  $V$  is in addition *oriented*, then there is a *unique* such form  $\omega$  such that  $\omega(e_1, \dots, e_n) = 1$  for every positively oriented ONB  $(e_i)$  of  $V$ , cf Theorem 1.15. It is called the *volume form* of the oriented euclidean vector space  $V$ .

Since endomorphisms act on top-degree alternating multilinear forms by multiplication with their determinant, see (1.12), we conclude from (1.19) that they act on volume by multiplication with the absolute value of the determinant,

$$L^* \text{vol}_n = |\det L| \cdot \text{vol}_n,$$

that is,

$$\text{vol}_n(Lv_1, \dots, Lv_n) = |\det L| \cdot \text{vol}_n(v_1, \dots, v_n)$$

for  $L \in \text{End}(V)$  and  $v_i \in V$ .

This provides a *geometric interpretation* for the *determinant* of an endomorphism, namely that the *volume distortion factor* of an endomorphism is given by the absolute value of its determinant. The determinant itself can be seen as a more refined *oriented* volume distortion which takes into account additionally whether the endomorphism preserves or changes the orientation of the vector space. The values of a volume form can be interpreted as *signed* or *oriented volumes* of parallelepipeds.

Returning to our task of generalizing (1.17) to arbitrary dimension, we consider the multilinear form

$$(u_1, \dots, u_n, v_1, \dots, v_n) \mapsto \det(\langle u_i, v_j \rangle) \quad (1.20)$$

on  $V$ . It is alternating in the  $u_i$ 's as well as in the  $v_j$ 's. Hence, by the uniqueness of alternating  $n$ -linear forms up to scalar multiple, it holds that

$$\det(\langle u_i, v_j \rangle) = \frac{\alpha(u_1, \dots, u_n)}{\alpha(e_1, \dots, e_n)} \cdot \det(\langle e_i, v_j \rangle) = \frac{\alpha(u_1, \dots, u_n)}{\alpha(e_1, \dots, e_n)} \cdot \frac{\alpha(v_1, \dots, v_n)}{\alpha(e_1, \dots, e_n)} \cdot \underbrace{\det(\langle e_i, e_j \rangle)}_{=1}.$$

In particular, with (1.19) we obtain the generalization

$$\text{vol}_n(v_1, \dots, v_n)^2 = \det(\langle v_i, v_j \rangle) \quad (1.21)$$

of (1.17). The expression on the right-hand side is called the *Gram determinant*. Of course, this formula carries over to all intermediate dimensions  $1 \leq k \leq n$ .

## 2 Tensors

### 2.1 The tensor product of vector spaces

Can one make sense of *multiplying vectors* belonging to possibly different vector spaces with each other? This is certainly possible. Given the freedom of constructions in mathematics, it is a matter of giving a suitable definition. . .

A *product*, in algebraic contexts, usually is a *bilinear map*, that is, a *distributive law* holds. Therefore, if  $U$  and  $V$  are  $K$ -vector spaces, by a product of vectors in  $U$  with vectors in  $V$  we just mean some bilinear map

$$U \times V \xrightarrow{\beta} W, \quad (u, v) \mapsto u \cdot v \quad (2.1)$$

with values in another vector space  $W$ .

Given such a product  $\beta$ , we can compose it with a linear map  $l : W \rightarrow W'$  to obtain another product  $\beta' = l \circ \beta$ . If  $l$  has nontrivial kernel, then the new product  $\beta'$  is more “degenerate” than  $\beta$  in that there are additional (linear) relations between its values. We are looking for a *universal* product  $\beta_{univ} : U \times V \rightarrow W_{univ}$  from which all other products can be derived by composing with a suitable linear map. It is thus natural to require that:

- (i)  $W_{univ}$  is “no larger than necessary”, i.e.  $W$  is the linear span of the values of the product.
- (ii)  $W_{univ}$  is “as large as possible”, i.e. there “no unnecessary relations” between the values.

One observes that, if  $(e_i)$  and  $(f_j)$  are bases of  $U$  and  $V$ , respectively, then the product of two general vectors  $u = \sum_i u_i e_i$  and  $v = \sum_j v_j f_j$  can be expressed due to bilinearity as a linear combination of the products of basis vectors,

$$u \cdot v = \sum_{i,j} u_i v_j e_i \cdot f_j. \quad (2.2)$$

If the product is universal, then (i) the products  $e_i \cdot f_j$  should span and (ii) they should be linearly independent. This suggests to construct a universal product by choosing  $W_{univ}$  as a vector space with basis the set of symbols  $e_i \cdot f_j$  and to then define the product map by (2.2). This is a possible approach, cf lemma 2.6 below, but we will give a “basis free” construction.

We first formulate the properties which we expect from a universal product, to be called a *tensor product*, namely that any product can be derived from it in a unique way:

**Definition 2.3 (Tensor product).** A *tensor product* of two vector spaces  $U$  and  $V$  is a vector space  $U \otimes V$  together with a bilinear map

$$U \times V \xrightarrow{\otimes} U \otimes V$$

satisfying the following *universal property*: For every bilinear map  $\beta$  as in (2.1) there exists a unique linear map

$$U \otimes V \xrightarrow{\lambda} W$$

such that  $\beta = \lambda \circ \otimes$ .

In other words, the natural linear map

$$\text{Hom}(U \otimes V, W) \longrightarrow \text{Bil}(U, V; W), \quad \lambda \mapsto \lambda \circ \otimes \quad (2.4)$$

is an isomorphism. A tensor product thus serves as a device which *converts bilinear maps into linear ones*.

Often, one refers to the vector space  $U \otimes V$  itself as the tensor product of  $U$  and  $V$ .

**Theorem 2.5.** *A tensor product exists and is unique up to natural isomorphism.*

We can therefore speak of *the* tensor product.

*Proof. Uniqueness* follows from the universal property (by a typical kind of argument referred to in category theory as “abstract nonsense”): Given two tensor products  $U \times V \xrightarrow{\otimes} U \otimes V$  and  $U \times V \xrightarrow{\tilde{\otimes}} U \tilde{\otimes} V$ , there exist unique linear maps  $U \otimes V \xrightarrow{\lambda} U \tilde{\otimes} V$  and  $U \tilde{\otimes} V \xrightarrow{\tilde{\lambda}} U \otimes V$  such that  $\tilde{\otimes} = \lambda \circ \otimes$  and  $\otimes = \tilde{\lambda} \circ \tilde{\otimes}$ . It follows that  $\otimes = (\tilde{\lambda} \circ \lambda) \circ \otimes$  and  $\tilde{\otimes} = (\lambda \circ \tilde{\lambda}) \circ \tilde{\otimes}$ . The uniqueness part of the universal property then implies that  $\tilde{\lambda} \circ \lambda = \text{id}_{U \otimes V}$  and  $\lambda \circ \tilde{\lambda} = \text{id}_{U \tilde{\otimes} V}$ . Hence, between any two tensor products there is a natural isomorphism.

*Existence.* We start by forming a vector space with basis  $U \times V$ . Namely, let  $E$  be the vector space consisting, as a set, of all symbols

$$\sum_i a_i (u_i, v_i)$$

with  $u_i \in U$ ,  $v_i \in V$  and  $a_i \in K$ , the vector space operations (addition and scalar multiplication) defined in the obvious way. We denote by

$$U \times V \xrightarrow{\iota} E$$

the natural inclusion. It is only a map of sets and *not* bilinear. Accordingly, every bilinear map  $\beta$  as in (2.1) can be viewed as a map defined on the basis  $\iota(U \times V)$  of  $E$  and there is a unique extension to a linear map  $\hat{\lambda}: E \rightarrow W$  such that  $\beta = \hat{\lambda} \circ \iota$ . However, not for all linear maps  $\lambda$  the composition  $\hat{\lambda} \circ \iota$  is bilinear, because  $\iota$  is not bilinear.

In order to pass from  $\iota$  to a bilinear map, we *impose relations* on the values by dividing out corresponding elements of  $E$ : Let  $R \subset E$  be the linear subspace generated by the elements

$$\begin{aligned} (u_1, v) + (u_2, v) - (u_1 + u_2, v), \quad (u, v_1) + (u, v_2) - (u, v_1 + v_2), \\ (au, v) - a(u, v), \quad (u, av) - a(u, v) \end{aligned}$$

for  $u, u_1, u_2 \in U$ ,  $v, v_1, v_2 \in V$  and  $a \in K$ , and consider the quotient vector space

$$E/R =: U \otimes V.$$

The map  $\iota$  descends to the map

$$U \times V \xrightarrow{\otimes} \underbrace{E/R}_{=: U \otimes V}, \quad (u, v) \mapsto (u, v) + R =: u \otimes v.$$

which is *bilinear* by construction. For instance:

$$\begin{aligned}(u_1 + u_2) \otimes v &= (u_1 + u_2, v) + R = (u_1, v) + (u_2, v) - \underbrace{((u_1, v) + (u_2, v) - (u_1 + u_2, v))}_{\in R} + R \\ &= ((u_1, v) + R) + ((u_2, v) + R) = u_1 \otimes v + u_2 \otimes v\end{aligned}$$

We must verify that the map  $\otimes$  satisfies the desired universal property. Given a bilinear map  $\beta$  as in (2.1), let  $\hat{\lambda} : E \rightarrow W$  with  $\beta = \hat{\lambda} \circ \iota$  be the linear extension as above. Note that the bilinearity of  $\beta$  is equivalent to  $\hat{\lambda}(R) = 0$  since, for instance,

$$\hat{\lambda}((u_1, v) + (u_2, v) - (u_1 + u_2, v)) = \beta(u_1, v) + \beta(u_2, v) - \beta(u_1 + u_2, v).$$

Hence,  $\hat{\lambda}$  descends to a linear map  $U \otimes V \xrightarrow{\lambda} W$  with

$$\lambda(u \otimes v) = \hat{\lambda}((u, v)) = \beta(u, v).$$

The map  $\lambda$  is unique because already its lift  $\hat{\lambda}$  is the unique extension of  $\beta$ .  $\square$

A more concrete idea of the tensor product is provided by the following fact.

**Lemma 2.6.** If  $(e_i | i \in I)$  and  $(f_j | j \in J)$  are bases of  $U$  and  $V$ , then  $(e_i \otimes f_j | i \in I, j \in J)$  is a basis of  $U \otimes V$ . In particular, in the case of finite dimensions, it holds that

$$\dim(U \otimes V) = \dim U \cdot \dim V.$$

*Proof.* From the construction of the tensor product we know that the elements  $u \otimes v$  generate  $U \otimes V$ . Consequently, also the elements  $e_i \otimes f_j$  generate.

In order to show that they are linearly independent, we separate them by linear forms. Namely, we use the linear forms

$$U \otimes V \xrightarrow{\lambda_{kl}} K, \quad u \otimes v \mapsto e_k^*(u) f_l^*(v).$$

Their existence follows from the universal property of the tensor product; they are induced by the bilinear forms

$$U \times V \xrightarrow{\beta_{kl}} K, \quad (u, v) \mapsto e_k^*(u) f_l^*(v).$$

For a finite linear relation

$$\sum_{i,j} c_{ij} e_i \otimes f_j = 0$$

it follows by applying these linear forms that

$$c_{kl} = \lambda_{kl} \left( \sum_{i,j} c_{ij} e_i \otimes f_j \right) = 0.$$

Thus, the elements  $e_i \otimes f_j$  form a basis.  $\square$

With respect to the bases, the tensor product is given by:

$$\left( \sum_i a_i e_i \right) \otimes \left( \sum_j b_j f_j \right) = \sum_{i,j} a_i b_j e_i \otimes f_j$$

When changing the bases of the factors,  $\tilde{e}_k = \sum_i g_{ki} e_i$  and  $\tilde{f}_l = \sum_j h_{lj} f_j$ , the induced *change of basis* for the tensor product is given by:

$$\tilde{e}_k \otimes \tilde{f}_l = \sum_{i,j} g_{ki} h_{lj} e_i \otimes f_j$$

**Remark 2.7.** It follows that if  $\{e_i : i \in I\}$  is a basis of  $U$ , then every element in  $U \otimes V$  can be written as

$$\sum_i e_i \otimes v_i$$

with *unique* vectors  $v_i \in V$ .

**Remark.** (i) There is a natural isomorphism switching factors

$$U \otimes V \cong V \otimes U \quad (2.8)$$

which identifies the elements  $u \otimes v$  with the elements  $v \otimes u$ . It is induced by the bilinear map  $U \times V \rightarrow V \otimes U$  sending  $(u, v) \mapsto v \otimes u$ .

(ii) There are natural linear maps

$$U^* \otimes V \longrightarrow \text{Hom}(U, V); \quad u^* \otimes v \mapsto u^*(\cdot)v \quad (2.9)$$

relating spaces of homomorphisms to tensor products. If  $\dim U < \infty$ , then these are *isomorphisms*, i.e. the space of homomorphisms can then be represented as a tensor product,

$$\text{Hom}(U, V) \cong U^* \otimes V.$$

Indeed, if  $(e_i | i \in I)$  is a basis of  $U$ , then  $(e_i^* | i \in I)$  is a basis of  $U^*$  (due to finite dimensionality). Elements of  $U^* \otimes V$  then have unique representations as sums  $\sum_i e_i^* \otimes v_i$  and, correspondingly, elements of  $\text{Hom}(U, V)$  as sums  $\sum_i e_i^*(\cdot)v_i$ .

If also  $\dim V < \infty$  and  $\{f_j\}$  is a basis of  $V$ , then elements of  $U^* \otimes V$  have unique representations of the form

$$\sum_{i,j} a_{ji} e_i^* \otimes f_j$$

and they correspond to the homomorphisms given with respect to the chosen bases by the matrices  $(a_{ji})_{j,i}$ .

In particular, if  $V = U$  and  $\dim U < \infty$ , then we have the natural isomorphism

$$\text{End}(U) \cong U^* \otimes U, \quad (2.10)$$

and  $\text{id}_U$  corresponds to the element  $\sum_i e_i^* \otimes e_i$ .

(ii') The homomorphism (2.9) is always injective, as one sees by restricting to finite dimensional subspaces of  $U$ .

By analogy with the twofold tensor product, the *multiple tensor product* of an arbitrary finite number of vector spaces  $U_1, \dots, U_n$  is a multilinear map

$$U_1 \times \dots \times U_n \xrightarrow{\otimes} U_1 \otimes \dots \otimes U_n$$

with the universal property that every multilinear map  $U_1 \times \dots \times U_n \rightarrow W$  is the composition of  $\otimes$  with a unique linear map  $U_1 \otimes \dots \otimes U_n \rightarrow W$ . Existence and uniqueness of the multiple tensor product are proven in the same way.

Hence, as in the case of two factors, cf (2.4), the natural linear map

$$\text{Hom}(U_1 \otimes \dots \otimes U_n, W) \xrightarrow{\circ \otimes} \text{Mult}(U_1, \dots, U_n; W) \quad (2.11)$$

given by precomposition with the tensor product is an isomorphism, and the tensor product can be viewed as a tool for *converting multilinear maps into linear ones*.

If  $(e_{j_i} \mid j_i \in J_i)$  are bases of the  $U_i$ , then again  $(e_{j_1} \otimes \dots \otimes e_{j_n} \mid (j_1, \dots, j_n) \in J_1 \times \dots \times J_n)$  is a basis of  $U_1 \otimes \dots \otimes U_n$ , cf. Lemma 2.6.

When building up multiple tensor products in several steps, the question of associativity arises, i.e. of the independence of the choice of partial steps.

**Lemma 2.12 (Associativity).** There are natural isomorphisms

$$(U_1 \otimes \dots \otimes U_n) \otimes (U_{n+1} \otimes \dots \otimes U_{n+m}) \longrightarrow U_1 \otimes \dots \otimes U_{n+m} \quad (2.13)$$

mapping elements  $(u_1 \otimes \dots \otimes u_n) \otimes (u_{n+1} \otimes \dots \otimes u_{n+m})$  to elements  $u_1 \otimes \dots \otimes u_{n+m}$ .

*Proof.* The natural multilinear map

$$U_1 \times \dots \times U_{n+m} \longrightarrow (U_1 \otimes \dots \otimes U_n) \otimes (U_{n+1} \otimes \dots \otimes U_{n+m})$$

induces a linear map

$$U_1 \otimes \dots \otimes U_{n+m} \longrightarrow (U_1 \otimes \dots \otimes U_n) \otimes (U_{n+1} \otimes \dots \otimes U_{n+m})$$

mapping elements  $u_1 \otimes \dots \otimes u_{n+m}$  to elements  $(u_1 \otimes \dots \otimes u_n) \otimes (u_{n+1} \otimes \dots \otimes u_{n+m})$ . That it is an isomorphism, is seen by choosing bases.  $\square$

**Remark 2.14.** (i) *Permutations of factors.* Generalizing (2.8), for permutations  $\sigma \in S_n$  there are the natural isomorphisms

$$U_1 \otimes \dots \otimes U_n \cong U_{\sigma(1)} \otimes \dots \otimes U_{\sigma(n)}$$

mapping elements  $u_1 \otimes \dots \otimes u_n$  to elements  $u_{\sigma(1)} \otimes \dots \otimes u_{\sigma(n)}$ .

(ii) *Functoriality.* Linear maps  $L_i : U_i \rightarrow V_i$  induce a linear map

$$L_1 \otimes \dots \otimes L_n : U_1 \otimes \dots \otimes U_n \longrightarrow V_1 \otimes \dots \otimes V_n \quad (2.15)$$

mapping elements  $u_1 \otimes \dots \otimes u_n$  to elements  $L_1(u_1) \otimes \dots \otimes L_n(u_n)$ . Indeed, it is induced by the multilinear map  $U_1 \times \dots \times U_n \longrightarrow V_1 \otimes \dots \otimes V_n$  sending  $(u_1, \dots, u_n) \mapsto L_1(u_1) \otimes \dots \otimes L_n(u_n)$

(iii) *Multilinear maps.* Generalizing (2.9), we have natural injective linear maps

$$U_1^* \otimes \dots \otimes U_n^* \otimes V \longrightarrow \text{Mult}(U_1, \dots, U_n; V; u_1^* \otimes \dots \otimes u_n^* \otimes v \mapsto ((u_1, \dots, u_n) \mapsto u_1^*(u_1) \cdot \dots \cdot u_n^*(u_n)v))$$

which are isomorphisms if  $\dim U_i < \infty$ .



## 2.2 The tensor algebra of a vector space

*Covariant tensors.* Now we multiply vectors in a fixed vector space  $U$  with each other. For  $m \in \mathbb{N}_0$ , we call the  $m$ -fold tensor product

$$U^m = \underbrace{U \times \dots \times U}_m \xrightarrow{\otimes} \underbrace{U \otimes \dots \otimes U}_m =: \otimes^m U =: T_m U \quad (2.16)$$

of  $U$  with itself the  $m$ -th *tensor power* of  $U$ . Then  $T_1 U = U$ . By convention,  $T_0 U := K$ . The  $m$ -th tensor power of  $U$  can be regarded as the *universal  $m$ -multilinear map* from  $U \times \dots \times U$ , universal in the sense that all others are obtained from it by postcomposition with a linear map. As in the case of the general tensor product, one often refers to the  $K$ -vector space  $T^m U$  itself as the  $m$ -th tensor power of  $U$ .

We combine the tensor powers of the various degrees by forming the graded  $K$ -vector space

$$T_* U := \bigoplus_{m=0}^{\infty} T_m U$$

Due to the associativity of the tensor product, cf (2.13), there are natural bilinear maps

$$T_m U \times T_n U \xrightarrow{\otimes} T_{m+n} U$$

which, by bilinear extension, yield a *product*

$$T_* U \times T_* U \xrightarrow{\otimes} T_* U.$$

Equipped with this product,  $T_* U$  becomes a *graded associative  $K$ -algebra with unity*, the (*covariant*) *tensor algebra* of  $U$ . Covariant, because the functor  $U \mapsto T_* U$  from vector spaces to algebras is covariant, i.e. a linear map  $L : U \rightarrow V$  induces a homomorphism of graded algebras

$$T_* U \xrightarrow{T_* L} T_* V$$

in the same direction. Indeed, cf (2.15), there are natural maps  $L^{\otimes m} : \otimes^m U \rightarrow \otimes^m V$  sending  $u_1 \otimes \dots \otimes u_n \mapsto L_1(u_1) \otimes \dots \otimes L_n(u_n)$ . We obtain  $T_* L$  by putting them together,  $T_* L|_{\otimes^m U} = L^{\otimes m}$ .

The covariant tensor algebra can also be characterized by a *universal property*. The algebra  $T_* U$  is the “largest” associative  $K$ -algebra with unity “generated by  $U$ ” in the sense that every linear map  $L : U \rightarrow A$  to an associative  $K$ -algebra with unity uniquely extends to an algebra homomorphism

$$T_* U \rightarrow A.$$

It maps elements  $u_1 \otimes \dots \otimes u_n$  to  $L(u_1) \cdot \dots \cdot L(u_n)$  and can be obtained as the composition of the algebra homomorphism  $L_* : T_* U \rightarrow T_* A$  induced by  $L$  with the natural “retraction” algebra endomorphism  $T_* A \rightarrow A$  sending  $a_1 \otimes \dots \otimes a_n$  to  $a_1 \cdot \dots \cdot a_n$ .

A basis  $(e_i | i \in I)$  of  $U$  induces a (vector space) basis  $(e_{i_1} \otimes \dots \otimes e_{i_m} | i_1, \dots, i_m \in I)$  of  $T_m U$

*Contravariant tensors.* Multiplying covectors, that is, linear forms leads to the *contravariant* tensor algebra of  $U$ . It is defined as

$$T^* U := T_* U^*.$$

Contravariant, because a linear homomorphism  $U \rightarrow V$  induces a linear homomorphism  $V^* \rightarrow U^*$  of dual spaces and hence an algebra homomorphism  $T^*V \rightarrow T^*U$  in the reverse direction.

*Mixed tensors.* Both types of tensors can be combined to *mixed* tensors with vector and covector components. One defines the tensor spaces

$$T_r^s U := T_r U \otimes T^s U$$

for  $r, s \in \mathbb{N}_0$  with the convention  $T_0^0 U = K$ , and the *tensor algebra* of  $U$  as

$$T(U) := T_*^* U := \bigoplus_{r,s=0}^{\infty} T_r^s U.$$

Again, there is a natural product  $\otimes$  on  $T(U)$  satisfying

$$\begin{aligned} & (u_1 \otimes \dots \otimes u_{r_1} \otimes u_1^* \otimes \dots \otimes u_{s_1}^*) \otimes (v_1 \otimes \dots \otimes v_{r_2} \otimes v_1^* \otimes \dots \otimes v_{s_2}^*) \\ &= u_1 \otimes \dots \otimes u_{r_1} \otimes v_1 \otimes \dots \otimes v_{r_2} \otimes u_1^* \otimes \dots \otimes u_{s_1}^* \otimes v_1^* \otimes \dots \otimes v_{s_2}^* \end{aligned}$$

which makes  $T(U)$  into a *bigraded associative algebra with unity*. There are natural inclusions  $T_* U \subset T(U)$  and  $T^* U \subset T(U)$  such that  $T_r U = T_r^0 U$  and  $T^s U = T_0^s U$ . The elements of  $T(U)$  are called *tensors*, and the elements of  $T_r^s U$  are called *homogeneous tensors of type  $(r, s)$* . Among them, the tensors  $u_1 \otimes \dots \otimes u_r \otimes u_1^* \otimes \dots \otimes u_s^*$  are called *decomposable* or *simple* or *monomials*. We note that not all homogeneous tensors are decomposable (by dimension reasons).

In low degrees, there are natural identifications of tensors with other linear algebra objects, for instance: Tensors of type  $(1, 0)$  are vectors. Tensors of type  $(0, 1)$  are covectors, i.e. linear forms. Tensors of type  $(0, 2)$  are naturally identified with bilinear forms, compare (2.23) below, and tensors of type  $(1, 1)$  with endomorphisms cf (2.10).

The natural linear inclusion

$$U \hookrightarrow U^{**}, \quad u \mapsto (u^* \mapsto u^*(u))$$

induces natural linear inclusions

$$T_r^s U \hookrightarrow T_s^r(U^*) \quad \text{and} \quad T(U) \hookrightarrow T(U^*) \quad (2.17)$$

of mixed tensor spaces and tensor algebras. If  $\dim U < \infty$ , these inclusions are *isomorphisms*.

*Contractions.* There are natural contraction maps between tensor spaces obtained by pairing vector with covector factors. The simplest and most basic such map is the linear form

$$T_1^1 U = U \otimes U^* \longrightarrow K, \quad u \otimes u^* \mapsto u^*(u) \quad (2.18)$$

induced by the natural non-degenerate bilinear pairing<sup>3</sup>

$$U \times U^* \longrightarrow \mathbb{R}, \quad (u, u^*) \mapsto u^*(u). \quad (2.19)$$

---

<sup>3</sup>A *pairing* of two vector spaces  $U$  and  $V$  is a bilinear map  $\beta : U \times V \rightarrow K$ . It induces linear maps  $U \rightarrow V^*, u \mapsto \beta(u, \cdot)$  and  $V \rightarrow U^*, v \mapsto \beta(\cdot, v)$ , and can be recovered from either of them. The pairing  $\beta$  is called *non-degenerate* if for every  $0 \neq u \in U$  exists  $v \in V$  such that  $\beta(u, v) \neq 0$  and for every  $0 \neq v \in V$  exists  $u \in U$  such that  $\beta(u, v) \neq 0$ . This is equivalent to the injectivity of both induced linear maps. If  $\dim(U), \dim(V) < \infty$ , the non-degeneracy of the pairing is equivalent to both linear maps being isomorphisms (implying  $\dim(U) = \dim(V)$ ).

More generally, one can pair the  $i$ -th vector factor of a homogeneous tensor with the  $j$ -th covector factor and thus obtains the *contraction* homomorphisms

$$T_r^s U \xrightarrow{C_i^j} T_{r-1}^{s-1} U$$

for  $1 \leq i \leq r$  and  $1 \leq j \leq s$  satisfying

$$u_1 \otimes \dots \otimes u_r \otimes u_1^* \otimes \dots \otimes u_s^* \mapsto u_j^*(u_i) \cdot u_1 \otimes \dots \widehat{u_i} \dots \otimes u_r \otimes u_1^* \otimes \dots \widehat{u_j^*} \dots \otimes u_s^*,$$

the “hats” on two of the factors indicating that these factors are omitted. These homomorphisms are induced by the multilinear maps

$$(u_1, \dots, u_r, u_1^*, \dots, u_s^*) \mapsto u_j^*(u_i) \cdot u_1 \otimes \dots \widehat{u_i} \dots \otimes u_r \otimes u_1^* \otimes \dots \widehat{u_j^*} \dots \otimes u_s^*.$$

By composing partial contractions one obtains (various) total contractions

$$T_r^r U \longrightarrow K,$$

for instance,

$$C_1^1 \circ \dots \circ C_r^r : u_1 \otimes \dots \otimes u_r \otimes u_1^* \otimes \dots \otimes u_r^* \mapsto \prod_i u_i^*(u_i). \quad (2.20)$$

If  $\dim U < \infty$ , then the contraction (2.18) is nothing but the *trace*

$$T_1^1 U = U \otimes U^* \stackrel{(2.10)}{\cong} \text{End}(U) \xrightarrow{\text{tr}} K.$$

Indeed, if  $(e_i)$  is a basis of  $U$ , then the endomorphism  $A = \sum_{i,j} a_{ij} e_i \otimes e_j^*$  with matrix  $(a_{ij})$  relative to this basis is mapped to  $\sum_{i,j} a_{ij} e_j^*(e_i) = \sum_i a_{ii} = \text{tr } A$ . (This also shows that the expression  $\sum_i a_{ii}$  is independent of the basis  $(e_i)$ .)

*Pairings and identifications.* Generalizing (2.19), a natural non-degenerate<sup>4</sup> bilinear pairing

$$T_r^s U \times T_s^r U \longrightarrow K \quad (2.21)$$

is obtained by composing the tensor product  $T_r^s U \times T_s^r U \xrightarrow{\otimes} T_{r+s}^{r+s} U$  with a total contraction. It induces natural linear inclusions

$$T_s^r U \hookrightarrow (T_r^s U)^*$$

If  $\dim U < \infty$ , then these are isomorphisms, and together with the (now) isomorphisms (2.17), we obtain the natural isomorphisms

$$(T_r^s U)^* \cong T_s^r U \cong T_r^s(U^*). \quad (2.22)$$

---

<sup>4</sup>The non-degeneracy can be verified by induction over the bidegree  $(r, s)$ . The induction step follows from the observation: If  $\beta : U \times U' \rightarrow K$  and  $\gamma : V \times V' \rightarrow K$  are non-degenerate pairings, then the induced pairing  $\beta \otimes \gamma : (U \otimes V) \times (U' \otimes V') \rightarrow K$  is non-degenerate. To verify this, note that a non-zero element in  $\tau \in U \otimes V$  can be expressed as a finite sum  $\sum_i u_i \otimes v_i$  with linearly independent  $u_i \in U$  and non-zero  $v_i \in V$ , cf. Remark 2.7. In view of the inclusion  $U \hookrightarrow U'^*$  induced by  $\beta$ , the linear forms  $\beta(u_i, \cdot)$  on  $U'$  are linearly independent. Hence, there exists  $u' \in U'$  so that  $\beta(u_1, u') \neq 0$  and  $\beta(u_i, u') = 0$  for  $i \geq 2$ . Furthermore, there exists  $v' \in V'$  so that  $\gamma(v_1, v') \neq 0$ . Then  $(\beta \otimes \gamma)(\tau, u' \otimes v') = \sum_i \beta(u_i, u') \gamma(v_i, v') \neq 0$ .

*Multilinear forms.* Returning to the viewpoint of the tensor product as a device of converting multilinear maps into linear maps, we observe now that the isomorphism (2.22) allows us in the case  $\dim U < \infty$  to identify multilinear forms with *contravariant tensors*,

$$\text{Mult}_r(U) \cong (T_r U)^* \cong T^r U = T_r U^*, \quad (2.23)$$

a monomial  $u_1^* \otimes \dots \otimes u_r^* \in T_r U^*$  corresponding to the multilinear form  $(u_1, \dots, u_r) \mapsto \prod_i u_i^*(u_i)$ , compare (2.20), i.e.

$$(u_1^* \otimes \dots \otimes u_r^*)(u_1, \dots, u_r) = \prod_i u_i^*(u_i). \quad (2.24)$$

If  $(e_i)$  is a basis of  $U$ , then  $(e_{i_1}^* \otimes \dots \otimes e_{i_r}^*)$  is a basis of  $\text{Mult}_r(U)$  under this identification. An  $r$ -linear form  $\mu$  on  $U$  can be written with respect to this basis as

$$\mu = \sum_{i_1, \dots, i_r} \underbrace{\mu(e_{i_1}, \dots, e_{i_r})}_{\in K} e_{i_1}^* \otimes \dots \otimes e_{i_r}^*.$$

For instance, a *bilinear form*  $\beta \in \text{Bil}(U) = \text{Mult}_2(U)$ , e.g. a *scalar product*, is identified with a type  $(0, 2)$  tensor and can be written as

$$\beta = \sum_{i,j} \underbrace{\beta(e_i, e_j)}_{\in K} e_i^* \otimes e_j^*. \quad (2.25)$$

*Multiplying multilinear forms.* The identification (2.23) of multilinear forms on  $U$  with contravariant tensors embeds the forms into the tensor algebra and thus gives rise to natural *product* maps

$$\text{Mult}_k(U) \times \text{Mult}_l(U) \xrightarrow{\otimes} \text{Mult}_{k+l}(U) \quad (2.26)$$

such that

$$(\mu \otimes \nu)(u_1, \dots, u_{k+l}) = \mu(u_1, \dots, u_k) \cdot \nu(u_{k+1}, \dots, u_{k+l})$$

for  $\mu \in \text{Mult}_k(U)$  and  $\nu \in \text{Mult}_l(U)$ . The last formula is satisfied if  $\mu, \nu$  are monomials in view of (2.24), and by bilinear extension for arbitrary  $\mu, \nu$ .

*Insertion.* For a vector  $u \in U$ , there are natural linear maps

$$\text{Mult}_k(U) \xrightarrow{i_u} \text{Mult}_{k-1}(U)$$

for  $k \geq 1$  given by inserting  $u$  for the first variable,

$$i_u \mu = \mu(u, \dots).$$

For products  $\mu \otimes \nu$  of multilinear forms  $\mu \in \text{Mult}_{k \geq 1}(U)$  and  $\nu \in \text{Mult}_{l \geq 0}(U)$  it holds that

$$i_u(\mu \otimes \nu) = (i_u \mu) \otimes \nu.$$

## 2.3 The exterior algebra of a vector space

Now we turn from general multilinear maps to *alternating* multilinear maps.

For a vector space  $V$ , we look, by analogy with the  $k$ -th tensor power (2.16), for the universal *alternating*  $k$ -fold product of vectors in  $V$ , or put differently, for the universal *alternating*  $k$ -multilinear map from  $V^k$ .

This amounts to *imposing additional relations* on the product by passing to a quotient of the tensor power. Indeed, a multilinear map arising as the postcomposition  $V^k \xrightarrow{\otimes} T_k V \xrightarrow{\lambda} W$  of the tensor power with a linear map  $\lambda$  from  $T_k V$  is alternating if and only if  $\lambda$  annihilates the linear subspace  $I_k V \subset T_k V$  spanned by the elements of the form

$$\dots \otimes v \otimes v \otimes \dots$$

Note that  $I_0 V = 0$  and  $I_1 V = 0$ .

We therefore define the  $k$ -th *exterior power* of  $V$  as the alternating  $k$ -multilinear map

$$\underbrace{V \times \dots \times V}_k \xrightarrow{\wedge} T_k V / I_k V =: \Lambda_k V \quad (2.27)$$

obtained from postcomposing the  $k$ -th tensor power  $V^k \rightarrow T_k V$  with the quotient map  $T_k V \rightarrow T_k V / I_k V$ . It is the *universal alternating  $k$ -multilinear map* from  $V^k$  in the sense that all others are obtained from it by postcomposition with a linear map. We have  $\Lambda_0 V = K$  and  $\Lambda_1 V = V$ .

The image under  $\wedge$  of a  $k$ -tuple  $(v_1, \dots, v_k)$  is denoted  $v_1 \wedge \dots \wedge v_k$ . The product  $\wedge$  is called the *exterior* or *wedge product*.<sup>5</sup> We thus have, besides the multilinearity of this product, the alternation relations  $\dots \wedge v \wedge v \wedge \dots = 0$  and, more generally,

$$\dots \wedge v \wedge \dots \wedge v \wedge \dots = 0,$$

compare Lemma 1.6. The wedge product is in particular *antisymmetric*,

$$v_{\sigma(1)} \wedge \dots \wedge v_{\sigma(k)} = \text{sgn}(\sigma) \cdot v_1 \wedge \dots \wedge v_k \quad (2.28)$$

for  $\sigma \in S_k$  and  $v_i \in V$ .

*Terminology.* An element of an exterior power is called a *multivector*. More specifically, an element of  $\Lambda_k V$  is called a  *$k$ -vector*. A  $k$ -vector of the form  $v_1 \wedge \dots \wedge v_k$  is called *decomposable* or a *simple  $k$ -vector* or a  *$k$ -blade*. A 0-vector is a scalar. A 1-vector is a vector, and it is always simple. However, for  $k \geq 2$  not all  $k$ -vectors are simple (by dimension reasons).

To give a more concrete idea of the exterior powers, we again describe *bases*, cf Lemma 2.6:

**Lemma.** If  $(e_i \mid i \in I)$  is a basis of  $V$  and  $I$  is equipped with a total ordering “<”, then  $(e_{i_1} \wedge \dots \wedge e_{i_k} \mid i_1 < \dots < i_k)$  is a basis of  $\Lambda_k V$ .

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<sup>5</sup>In german: *Dachprodukt*.

*Proof.* Since the monomials  $e_{i_1} \wedge \dots \wedge e_{i_k}$  are the images of the basis elements  $e_{i_1} \otimes \dots \otimes e_{i_k}$  under the natural quotient projection  $T_k V \rightarrow \Lambda_k V$ , they clearly generate  $\Lambda_k V$ , and in view of the antisymmetry (2.28), already those for  $i_1 < \dots < i_k$  generate.

To see their linear independence, we note that for any  $j_1 < \dots < j_k$  in  $I$  there exists an alternating multilinear form  $\alpha \in \text{Alt}_k(V)$  such that  $\alpha(e_{i_1}, \dots, e_{i_k}) \neq 0$  if the  $i_l$  are a permutation of the  $j_l$ , and  $= 0$  otherwise, compare section 1.4, in particular Lemma 1.7. By the universal property of the exterior power,  $\alpha$  translates into a linear form on  $\Lambda_k V$  which takes a nonzero value on  $e_{j_1} \wedge \dots \wedge e_{j_k}$  and vanishes on the other elements of the generating set.  $\square$

Again we combine the exterior powers of all degrees by forming the graded vector space

$$\Lambda_* V := \bigoplus_{k=0}^{\infty} \Lambda_k V.$$

It is the quotient vector space

$$\Lambda_* V \cong T_* V / I_* V$$

of the covariant tensor algebra  $T_* V = \bigoplus_{k=0}^{\infty} T_k V$  by the graded linear subspace

$$I_* V := \bigoplus_{k=0}^{\infty} I_k V.$$

The latter is in fact the *two-sided ideal* in  $T_* V$  generated by the elements  $v \otimes v$  for  $v \in V$ . Therefore  $\Lambda_* V$  inherits from  $T_* V$  a natural structure as a graded associative  $K$ -algebra. Its product, the graded *wedge product*

$$\Lambda_* V \times \Lambda_* V \xrightarrow{\wedge} \Lambda_* V$$

induced by the tensor product, is the bilinear extension of the collection of wedge product maps

$$\Lambda_k V \times \Lambda_l V \xrightarrow{\wedge} \Lambda_{k+l} V.$$

Due to the associativity of the tensor product, cf Lemma 2.12, it holds that

$$(v_1 \wedge \dots \wedge v_k) \wedge (v_{k+1} \wedge \dots \wedge v_{k+l}) = v_1 \wedge \dots \wedge v_{k+l}.$$

Furthermore, in view of (2.28), the wedge product is *graded commutative*, i.e. it satisfies the commutation law

$$b \wedge a = (-1)^{\deg a \cdot \deg b} a \wedge b \quad (2.29)$$

for homogeneous elements  $a$  and  $b$ . Thus, the exterior algebra  $\Lambda_* V$  is an *alternating*<sup>6</sup>  $\mathbb{Z}$ -graded associative  $K$ -algebra with unity. The  $\mathbb{Z}$ -grading  $\Lambda_* V = \bigoplus_{k \in \mathbb{Z}} \Lambda_k V$  (putting  $\Lambda_k V = 0$  for  $k < 0$ ) coarsens to a  $\mathbb{Z}_2$ -grading  $\Lambda_* V = \Lambda_{\text{even}} V \oplus \Lambda_{\text{odd}} V$  by collecting the components of even and odd degrees, respectively. It is all what is needed to formulate the anticommutation law (2.29). The exterior algebra is characterized by the *universal property* that it is the “largest” alternating

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<sup>6</sup>A  $\mathbb{Z}$ -graded algebra is called *alternating* if its product is graded commutative.

$\mathbb{Z}_2$ -graded associative  $K$ -algebra<sup>7</sup> with unity “generated by  $V$ ” in the sense that any linear map  $L : V \rightarrow A$  into the odd part of an alternating  $\mathbb{Z}_2$ -graded associative  $K$ -algebra with unity uniquely extends to a homomorphism  $\Lambda_* V \rightarrow A$  of graded algebras with unity.

If  $\dim V < \infty$ , then  $\dim \Lambda_k V = \binom{\dim V}{k}$  and  $\dim \Lambda_* V = 2^{\dim V}$ .

*Functoriality.* The exterior power and exterior algebra functors  $V \mapsto \Lambda_k V$  and  $V \mapsto \Lambda_* V$  are *covariant*, that is, a linear map  $L : U \rightarrow V$  induces linear maps

$$\Lambda_k L : \Lambda_k U \rightarrow \Lambda_k V, \quad u_1 \wedge \dots \wedge u_k \mapsto Lu_1 \wedge \dots \wedge Lu_k$$

which combine by linear extension to a homomorphism of graded algebras  $\Lambda_* L : \Lambda_* U \rightarrow \Lambda_* V$ .

*Determinant revisited.* If  $\dim V = k$  and  $L \in \text{End}(V)$ , then for a basis  $(e_i)$  of  $V$  and the matrix  $(a_{ij})$  of  $L$  relative to this basis,  $Le_j = \sum_i a_{ij} e_i$ , one obtains

$$Le_1 \wedge \dots \wedge Le_k = \underbrace{\det(a_{ij})}_{=\det L} \cdot e_1 \wedge \dots \wedge e_k$$

and hence

$$\Lambda_k L = \det L \cdot \text{id}_{\Lambda_k V}, \quad (2.30)$$

which is dual to the earlier observation (1.12). It also immediately yields the multiplication law (1.13) for determinants, since for  $A, B \in \text{End } V$  one has  $\Lambda_k(AB) = (\Lambda_k A)(\Lambda_k B)$ .

*Pairings.* As for tensor spaces there are natural bilinear pairings between the exterior powers of a vector space and its dual space. The natural pairing

$$T_k V \times T_k V^* \rightarrow K, \quad (v_1 \otimes \dots \otimes v_k, v_1^* \otimes \dots \otimes v_k^*) \mapsto \prod_i v_i^*(v_i)$$

itself, compare (2.21), does not descend to  $\Lambda_k V \times \Lambda_k V^*$ , however its antisymmetrization

$$(v_1 \otimes \dots \otimes v_k, v_1^* \otimes \dots \otimes v_k^*) \mapsto \sum_{\sigma \in S_k} \text{sgn}(\sigma) \cdot \prod_i v_i^*(v_{\sigma(i)}) = \det(v_i^*(v_j))$$

does descend, because it vanishes on  $I_k V \times T_k V^*$  and  $T_k V \times I_k V^*$ , due to the fact that the determinant of a matrix is alternating in columns and rows. We thus obtain the natural non-degenerate<sup>8</sup> pairing

$$\Lambda_k V \times \Lambda_k V^* \rightarrow K, \quad (v_1 \wedge \dots \wedge v_k, v_1^* \wedge \dots \wedge v_k^*) \mapsto \det(v_i^*(v_j)). \quad (2.31)$$

<sup>7</sup>A  $\mathbb{Z}_2$ -graded algebra is sometimes also called a *superalgebra*. If the commutation law (2.29) holds, then a superalgebra it is called *commutative*. Thus, the exterior algebra is a commutative associative superalgebra over  $K$  with unity.

<sup>8</sup>This follows from the more general assertion: *If  $\beta : V \times V' \rightarrow K$  is a non-degenerate pairing, then the induced pairing  $\Lambda_k \beta : \Lambda_k V \times \Lambda_k V' \rightarrow K$  given by  $(\Lambda_k \beta)(v_1 \wedge \dots \wedge v_k, v'_1 \wedge \dots \wedge v'_k) = \det(\beta(v_i, v'_j))$  is non-degenerate.* To see this, note that for  $0 \neq a \in \Lambda_k V$  there exist linearly independent  $v_1, \dots, v_l \in V$ , so that  $a = v_1 \wedge \dots \wedge v_k + b$  where  $b$  is a linear combination of monomials  $v_{i_1} \wedge \dots \wedge v_{i_k}$  with  $i_k > k$ . In view of the inclusion  $V \hookrightarrow V'^*$  induced by  $\beta$ , the linear forms  $\beta(v_i, \cdot)$  on  $V'$  are linearly independent. Hence, there exist  $v'_1, \dots, v'_l \in V'$  so that  $\beta(v_i, v'_j) = \delta_{ij}$ . Then  $(\Lambda_k \beta)(a, v'_1 \wedge \dots \wedge v'_k) = (\Lambda_k \beta)(v_1 \wedge \dots \wedge v_k, v'_1 \wedge \dots \wedge v'_k) = 1 \neq 0$ .

*Alternating multilinear forms.* Due to the universal property of exterior powers, we have the identification  $\text{Alt}_k(V) \cong (\Lambda_k V)^*$  of the spaces of alternating multilinear forms on  $V$ . If  $\dim V < \infty$ , then the non-degenerate pairing (2.31) induces natural isomorphisms

$$\text{Alt}_k(V) \cong (\Lambda_k V)^* \cong \Lambda_k V^* =: \Lambda^k V. \quad (2.32)$$

We put  $\Lambda^* V := \Lambda_* V^*$ .

One can thus identify alternating multilinear forms on  $V$  with elements in exterior powers of  $V^*$ , monomials  $v_1^* \wedge \dots \wedge v_k^* \in \Lambda^k V$  corresponding to forms  $(v_1, \dots, v_k) \mapsto \det(v_i^*(v_j))$ , i.e.

$$(v_1^* \wedge \dots \wedge v_k^*)(v_1, \dots, v_k) = \det(v_i^*(v_j)). \quad (2.33)$$

If  $(e_i)$  is a basis of  $V$ , then  $(e_{i_1}^* \wedge \dots \wedge e_{i_k}^* \mid i_1 < \dots < i_k)$  is a basis of  $\text{Alt}_k(V)$  under this identification. A form  $\alpha \in \text{Alt}_k(V)$  can be written with respect to this basis as

$$\alpha = \sum_{i_1 < \dots < i_k} \alpha(e_{i_1}, \dots, e_{i_k}) e_{i_1}^* \wedge \dots \wedge e_{i_k}^*.$$

*Multiplying alternating multilinear forms.* From the identification of spaces of alternating multilinear forms with exterior powers of the dual space, natural wedge product maps

$$\text{Alt}_k(V) \times \text{Alt}_l(V) \xrightarrow{\wedge} \text{Alt}_{k+l}(V) \quad (2.34)$$

arise. If  $\text{char } K = 0$ , they work as follows. For forms  $\alpha \in \text{Alt}_k(V)$  and  $\beta \in \text{Alt}_l(V)$ , one has

$$(\alpha \wedge \beta)(v_1, \dots, v_{k+l}) = \frac{1}{k!l!} \sum_{\sigma \in S_{k+l}} \text{sgn}(\sigma) \cdot \alpha(v_{\sigma(1)}, \dots, v_{\sigma(k)}) \cdot \beta(v_{\sigma(k+1)}, \dots, v_{\sigma(k+l)}). \quad (2.35)$$

Indeed, since both sides are alternating multilinear in the  $v_i$  and bilinear in  $\alpha$  and  $\beta$ , it suffices to verify this formula in the case when the vectors  $v_i$  are linearly independent, i.e. constitute part of a basis, and the forms are monomials,  $\alpha = v_1^* \wedge \dots \wedge v_k^*$  and  $\beta = v_{k+1}^* \wedge \dots \wedge v_{k+l}^*$ , such that the covector factors  $v_i^*$  are dual to the basis vectors  $v_i$ , i.e.  $v_i^*(v_j) = \delta_{ij}$ . However, in this case the formula it is easily confirmed, because the left-hand side equals 1 and in the right-hand sum exactly the  $k!l!$  permutations  $\sigma \in S_k \times S_l \subset S_{k+l}$  preserving the subset  $\{1, \dots, k\}$  contribute, each of them a summand 1.

The wedge product (2.34) on alternating multilinear forms is a *skew-symmetrization* of the tensor product (2.26) on general multilinear forms. Namely, there are natural linear projections

$$\text{Mult}_k(V) \xrightarrow{\text{alt}} \text{Alt}_k(V)$$

onto the subspaces  $\text{Alt}_k(V) \subset \text{Mult}_k(V)$  given by antisymmetrization (still assuming  $\text{char } K = 0$ ),

$$(\text{alt } \mu)(v_1, \dots, v_k) = \frac{1}{k!} \sum_{\sigma \in S_k} \text{sgn}(\sigma) \cdot \mu(v_{\sigma(1)}, \dots, v_{\sigma(k)})$$

for  $\mu \in \text{Mult}_k V$  and  $v_i \in V$ , that is, in terms of the natural action  $S_k \curvearrowright \text{Mult}_k(V)$ ,

$$\text{alt } \mu = \frac{1}{k!} \sum_{\sigma \in S_k} \text{sgn}(\sigma) \cdot \sigma \mu.$$



We may then rewrite (2.35) as

$$\alpha \wedge \beta = \frac{(k+l)!}{k!l!} \text{alt}(\alpha \otimes \beta).$$

For multiple products, the last formula generalizes to

$$\alpha_1 \wedge \cdots \wedge \alpha_r = \frac{(k_1 + \cdots + k_r)!}{k_1! \cdots k_r!} \text{alt}(\alpha_1 \otimes \cdots \otimes \alpha_r),$$

equivalently, (2.35) to

$$(\alpha_1 \wedge \cdots \wedge \alpha_r)(v_1, \dots, v_k) = \frac{1}{k_1! \cdots k_r!} \sum_{\sigma \in S_k} \text{sgn}(\sigma) \cdot \alpha_1(v_{\sigma(1)}, \dots) \cdot \dots \cdot \alpha_r(\dots, v_{\sigma(k)})$$

where  $k = k_1 + \cdots + k_r$ .

*Interior product.* As in the case of general multilinear maps, for a vector  $v \in V$ , there are natural linear maps

$$\text{Alt}_k(V) \xrightarrow{i_v} \text{Alt}_{k-1}(V)$$

for  $k \geq 1$  given by inserting  $v$  for the first variable,

$$i_v \alpha = \alpha(v, \dots),$$

and called *interior multiplication* or *contraction* with  $v$ . Invoking the identifications (2.32), we combine these maps by linear extension to a linear map  $i_v : \Lambda^* V \rightarrow \Lambda^* V$ , where we make the convention  $i_v|_{\Lambda^0 V} = 0$ . It satisfies

$$i_v^2 = 0$$

and is an *antiderivation* of degree  $-1$  of the graded algebra  $\Lambda^* V$ , i.e. it lowers degrees by 1 and one has the product rule

$$i_v(\alpha \wedge \beta) = (i_v \alpha) \wedge \beta + (-1)^k \cdot \alpha \wedge (i_v \beta) \quad (2.36)$$

for  $\alpha \in \Lambda^k V$  and  $\beta \in \Lambda^* V$ . Indeed, since both sides are bilinear in  $\alpha$  and  $\beta$ , it suffices to verify this formula for monomials  $\alpha = v_1^* \wedge \cdots \wedge v_k^*$  and  $\beta = v_{k+1}^* \wedge \cdots \wedge v_{k+l}^*$  with  $v_i^* \in \Lambda^1 V = V^*$ . From (2.33) and the Laplace expansion of determinants, we obtain that

$$i_v \alpha = i_v(v_1^* \wedge \cdots \wedge v_k^*) = \sum_{i=1}^k (-1)^{i+1} \cdot v_i^*(v) \cdot (v_1^* \wedge \cdots \widehat{v_i^*} \cdots \wedge v_k^*)$$

where “ $\widehat{v_i^*}$ ” indicates that the factor  $v_i^*$  is omitted. There are analogous expressions for  $i_v \beta$  and  $i_v(\alpha \wedge \beta)$ , and it follows that the left-hand side of (2.36) equals

$$\underbrace{\sum_{i=1}^k (-1)^{i+1} \cdot v_i^*(v) \cdot (v_1^* \wedge \cdots \widehat{v_i^*} \cdots \wedge v_{k+l}^*)}_{=(i_v \alpha) \wedge \beta} + \underbrace{\sum_{i=1}^l (-1)^{k+i+1} \cdot v_{k+i}^*(v) \cdot (v_1^* \wedge \cdots \widehat{v_{k+i}^*} \cdots \wedge v_{k+l}^*)}_{=(-1)^k \cdot \alpha \wedge (i_v \beta)},$$

as claimed.

Suppose that  $V$  is a  $n$ -dim vector space equipped with a volume form  $0 \neq \omega \in \Lambda^n V$ . Then  $\omega$  gives rise to a linear isomorphism

$$V \xrightarrow{\cong} \Lambda^{n-1} V, \quad v \mapsto i_v \omega$$

and, more generally, linear isomorphisms

$$\Lambda_k V \xrightarrow{\cong} \Lambda^{n-k} V, \quad v_1 \wedge \dots \wedge v_k \mapsto \underbrace{\omega(v_1, \dots, v_k, \dots)}_{i_{v_k} \dots i_{v_1} \omega}$$

for  $0 \leq k \leq n$ , induced by the alternating multilinear maps  $(v_1, \dots, v_k) \mapsto \omega(v_1, \dots, v_k, \dots)$ . If  $(e_i)$  is an ordered basis so that  $\omega = e_1^* \wedge \dots \wedge e_n^*$ , then  $e_1 \wedge \dots \wedge e_k \mapsto e_{k+1}^* \wedge \dots \wedge e_n^*$ .

*Geometric notions.* We now work over the field  $K = \mathbb{R}$ . Let  $V$  be a  $n$ -dim vector space.

*Scalar products revisited.* As we already pointed out in section 2.2, being bilinear forms, scalar products are type  $(0,2)$  tensors. If  $\langle \cdot, \cdot \rangle$  is a scalar product on  $V$  and  $(e_i)$  is an ONB with respect to it, then in view of  $\langle e_i, e_j \rangle = \delta_{ij}$  we can write

$$\langle \cdot, \cdot \rangle = \sum_{i=1}^n e_i \otimes e_i,$$

compare (2.25).

*Orientation revisited.* The orientation determined by an ordered basis  $(e_i)$  of  $V$  is induced by the volume form  $e_1^* \wedge \dots \wedge e_n^* \in \Lambda^n V$ , where  $(e_i^*)$  denotes the dual basis of  $V^*$ , cf section 1.4.3.

Orientations can also be described in terms of top degree *multivectors*: We have  $\dim \Lambda_n V = 1$ . Every oriented base  $e$  gives rise to an  $n$ -vector  $0 \neq e_1 \wedge \dots \wedge e_n \in \Lambda_n V$ . For bases  $e, e'$  with  $e \cdot A = e'$ , the induced  $n$ -vectors are related by

$$e'_1 \wedge \dots \wedge e'_n = \det A \cdot e_1 \wedge \dots \wedge e_n,$$

compare (2.30). An orientation of  $V$  thus corresponds to a *ray component* of  $\Lambda_n V \setminus \{0\}$ .

*Volume revisited.* We now can make sense of the fact that the  $k$ -dim volume, compare our discussion in section 1.4.4, can be regarded as a *norm* on  $k$ -vectors. A scalar product  $\langle \cdot, \cdot \rangle_V$  on  $V$  induces scalar products  $\langle \cdot, \cdot \rangle_{\Lambda_k V}$  on the exterior powers  $\Lambda_k V$  satisfying

$$\langle u_1 \wedge \dots \wedge u_k, v_1 \wedge \dots \wedge v_k \rangle_{\Lambda_k V} = \det(\langle u_i, v_j \rangle_V)_{i,j=1,\dots,k}.$$

They are the symmetric bilinear forms on  $\Lambda_k V$  induced, via the universal property of exterior powers, by the  $2k$ -linear forms  $(u_1, \dots, u_k, v_1, \dots, v_k) \mapsto \det(\langle u_i, v_j \rangle_V)$  on  $V$  which are alternating in the  $u_i$ 's as well as in the  $v_j$ 's, compare (1.20). That the  $\langle \cdot, \cdot \rangle_{\Lambda_k V}$  are positive definite, can be seen using bases. Namely, if  $(e_i)$  is an ONB for  $\langle \cdot, \cdot \rangle_V$ , then  $(e_{i_1} \wedge \dots \wedge e_{i_k} \mid i_1 < \dots < i_k)$  is an ONB for  $\langle \cdot, \cdot \rangle_{\Lambda_k V}$ . We observe that, on decomposable multivectors  $v_1 \wedge \dots \wedge v_k$ , the quadratic forms associated to the  $\langle \cdot, \cdot \rangle_{\Lambda_k V}$  are given by Gram determinants and equal the squares of the  $k$ -dim volume functionals, cf (1.21). The associated norms  $\| \cdot \|_{\Lambda_k V}$  thus satisfy

$$\text{vol}_k(v_1, \dots, v_k) = \|v_1 \wedge \dots \wedge v_k\|_{\Lambda_k V}.$$

If the euclidean vector space  $(V, \langle \cdot, \cdot \rangle_V)$  is in addition equipped with an *orientation*, then there is a unique volume form  $0 \neq \omega \in \Lambda^n V$  with the property that

$$\omega(e_1, \dots, e_n) = 1$$

for every positively oriented ONB  $(e_i)$  of  $V$ , compare sections 1.4.3 and 1.4.4, namely

$$\omega = e_1^* \wedge \dots \wedge e_n^*.$$

It is called *the volume form* of the oriented euclidean vector space.

If  $e \in V$  is a unit vector,  $\|e\| = 1$ , then the  $(n-1)$ -form  $i_e \omega = \omega(e, \dots)$  restricts to a volume form on the hyperplane  $e^\perp$  orthogonal to  $e$ , and thus determines an orientation on  $e^\perp$ . The induced volume form  $i_e \omega|_{e^\perp}$  is the volume form on  $e^\perp$  associated to the induced scalar product and orientation. If  $(e, e_2, \dots, e_n)$  is a positively oriented ONB of  $V$ , then  $(e_2, \dots, e_n)$  is a positively oriented ONB of  $e^\perp$  and

$$i_e \omega = e_2^* \wedge \dots \wedge e_n^*.$$

Replacing the unit vector  $e$  by  $-e$  yields the reversed orientation on  $(-e)^\perp = e^\perp$ .

*Star operator.* We keep assuming that  $V$  is a  $n$ -dim vector space equipped with a scalar product  $\langle \cdot, \cdot \rangle_V$  and an orientation. Then there are natural *isometric linear isomorphisms*

$$\Lambda_k V \xrightarrow{\star} \Lambda_{n-k} V$$

for  $0 \leq k \leq n$ , characterized by the property that

$$a \wedge \star b = \langle a, b \rangle_{\Lambda_k V} \phi$$

for  $a, b \in \Lambda_k V$ , where  $\phi \in \Lambda_n V$  denotes the unit  $n$ -vector positive with respect to the orientation. Indeed, with respect to a positively oriented ONB  $(e_i)$ , we have  $\phi = e_1 \wedge \dots \wedge e_n$  and such operators can be defined by

$$e_{\sigma(1)} \wedge \dots \wedge e_{\sigma(k)} \xrightarrow{\star} e_{\sigma(k+1)} \wedge \dots \wedge e_{\sigma(n)}$$

for all even permutations  $\sigma \in S_n$ . They are unique, because an  $(n-k)$ -vector is determined by its wedge products with all  $k$ -vectors. Note that  $\phi = \star 1$ .

Combining these operators for all grades  $k$ , we obtain the *grade reflecting* isometric linear isomorphism

$$\Lambda_\star V \xrightarrow{\star} \Lambda_\star V$$

called the *Hodge star operator*. It satisfies

$$\star \star |_{\Lambda_k V} = (-1)^{k(n-k)} \text{id}_{\Lambda_k V}.$$

Dually, on forms, we have the star operators

$$\Lambda^k V \xrightarrow{\star} \Lambda^{n-k} V$$

satisfying

$$\alpha \wedge \star \beta = \langle \alpha, \beta \rangle_{\Lambda^k V} \omega$$

for  $\alpha, \beta \in \Lambda^k V$ .

We note that the interior product of a vector with the volume form can be written in terms of the star operator as

$$i_v \omega = \star \underbrace{\langle v, \cdot \rangle_V}_{\in V^*}.$$

*Cross product.* The following structure is special to dimension 3. Suppose that  $(V, \langle \cdot, \cdot \rangle_V)$  is a 3-dim euclidean vector space equipped with an orientation. Let  $\omega$  denote its distinguished volume form. Then there is unique alternating bilinear map

$$V \times V \xrightarrow{\times} V, \quad (u, v) \mapsto u \times v,$$

called the *cross product* on  $V$ , so that

$$\langle u \times v, w \rangle = \omega(u, v, w)$$

for  $u, v, w \in V$ . Indeed, each linear form  $\omega(u, v, \cdot)$  can be written as the scalar product  $\langle u \times v, \cdot \rangle$  with a vector denoted  $u \times v$ , and the resulting map  $(u, v) \mapsto u \times v$  is alternating bilinear.

If  $(e_1, e_2, e_3)$  is a positively oriented ONB, then

$$e_1 \times e_2 = e_3, \quad e_2 \times e_3 = e_1 \quad \text{and} \quad e_3 \times e_1 = e_2.$$

For general vectors  $u = \sum u_i e_i$  and  $v = \sum v_i e_i$  one obtains

$$u \times v = \begin{pmatrix} u_2 & u_3 \\ v_2 & v_3 \end{pmatrix} e_1 + \begin{pmatrix} u_3 & u_1 \\ v_3 & v_1 \end{pmatrix} e_2 + \begin{pmatrix} u_1 & u_2 \\ v_1 & v_2 \end{pmatrix} e_3.$$