

Mathematics of magic angles in twisted bilayer graphene

Herrsching, Mathematical results of many-body quantum systems

Simon Becker

June 10, 2022







Based on joint work with

2020:, Mark Embree, Jens Wittsten, Maciej Zworski

2022: Tristan Humbert, Maciej Zworski



Weird behaviour of non-selfadjoint operators

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Seeley 85:

$$P(\alpha) = e^{ix} D_x + \alpha e^{ix}, \quad x \in \mathbb{R}/2\pi\mathbb{Z}, \quad D_x = \frac{1}{i} \partial_x$$

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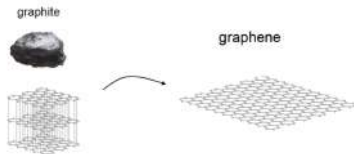
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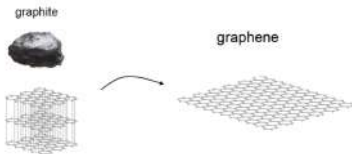
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E. Brian Davies 2007: *Studying non-self-adjoint operators is like being a vet rather than a doctor: one has to require a much wider range of knowledge, and accept that one cannot expect to have as high a rate of success when confronted with particular cases.*

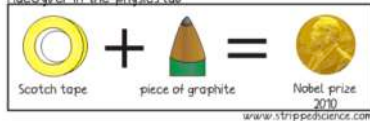
Motivation: bilayer graphene



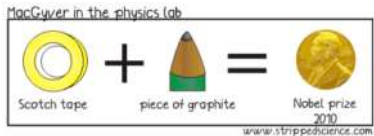
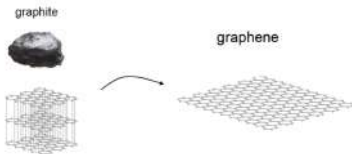
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MacGyver in the physics lab

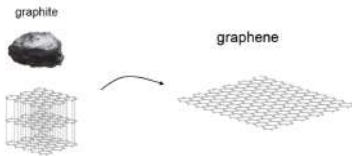


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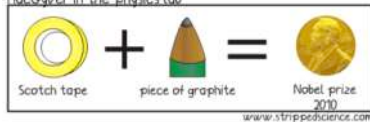


Geim–Novoselov '04

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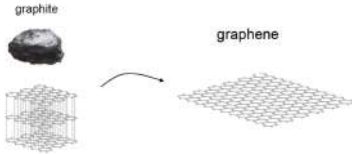


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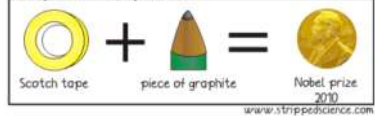


192 USD for 500 mg

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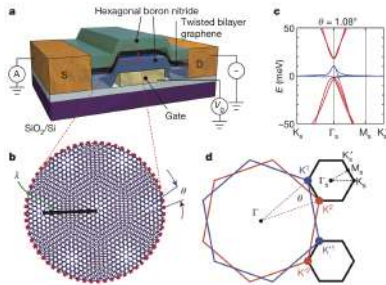


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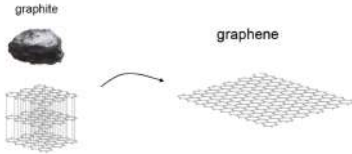


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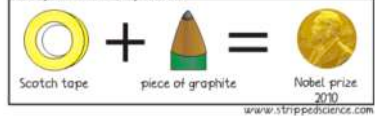
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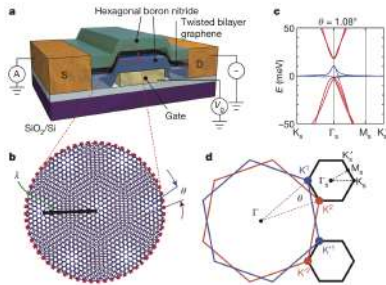


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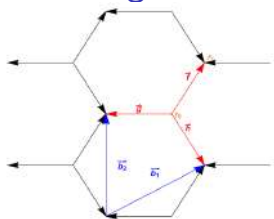


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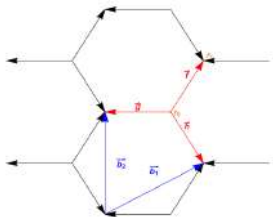
Tight-binding model-Twisted bilayer graphene



We define the discrete Laplacian on the honeycomb lattice $H : \ell^2(\mathbb{Z}^2; \mathbb{C}^2) \rightarrow \ell^2(\mathbb{Z}^2; \mathbb{C}^2)$ by

$$H = \begin{pmatrix} 0 & 1 + \tau_1^* + \tau_2^* \\ 1 + \tau_1 + \tau_2 & 0 \end{pmatrix},$$

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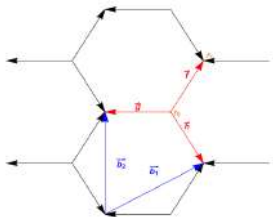


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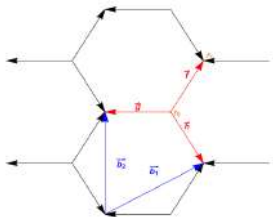
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where

$$(W_\theta \psi)_n = (w * \psi)(R_\theta n) := \sum_{m \in \mathbb{Z}^2} w(R_\theta n - m) \psi_m \text{ with } w \in \mathcal{S}(\mathbb{R}^2; \mathbb{C}^{2 \times 2}).$$

The Bistritzer-MacDonald Hamiltonian

Massatt-Carr'20 showed that close to zero energy the model is effectively described by:

The Hamiltonian of two non-interacting sheets of graphene is

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The chiral model of TBG

Origin of Magic Angles in Twisted Bilayer Graphene

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This limit is very important also for many-body effects cf. Bernevig–Vishwanath–Zaletel et al.

Review of symmetries

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Decompose into irreducible representations of this **Heisenberg** group:

$$L^2(\mathbb{C}/\Gamma) = \bigoplus_{k,p \in \mathbb{Z}_3} L^2_{\rho_{k,p}}(\mathbb{C}/\Gamma; \mathbb{C}^2) \oplus L^2_{\rho_{(1,0)}}(\mathbb{C}/\Gamma; \mathbb{C}^2) \oplus L^2_{\rho_{(2,0)}}(\mathbb{C}/\Gamma; \mathbb{C}^2)$$

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$$\rho_{k,p} \quad \longleftrightarrow \quad \mathcal{L}_{\mathbf{a}} \equiv \omega^{k(a_1+a_2)}, \quad \mathcal{C} \equiv \bar{\omega}^p$$

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This implies that the spectrum of $H(\alpha)|_{L^2_{\rho_{k,\ell}}(\mathbb{C}/\Gamma)}$ is **even**

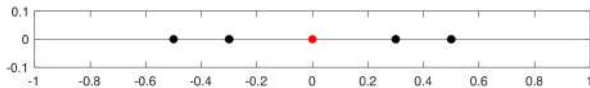
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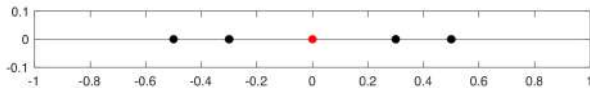
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$$\mathbf{e}_1 \in L^2_{\rho_{1,0}}, \quad \mathbf{e}_2 \in L^2_{\rho_{0,0}}, \quad \mathbf{e}_3 \in L^2_{\rho_{1,1}}, \quad \mathbf{e}_4 \in L^2_{\rho_{0,1}}.$$

$$H(\alpha) = -\mathcal{W} H(\alpha) \mathcal{W}^*, \quad \mathcal{W} := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \mathcal{W} \mathcal{C} = \mathcal{C} \mathcal{W}, \quad \mathcal{L}_{\mathbf{a}} \mathcal{W} = \mathcal{W} \mathcal{L}_{\mathbf{a}}$$

This implies that the spectrum of $H(\alpha)|_{L^2_{\rho_{k,\ell}}(\mathbb{C}/\Gamma)}$ is **even**



$$\dim \ker_{L^2(\mathbb{C}/\Gamma)}(H(\alpha)) \geq 4, \quad \dim \ker_{L^2(\mathbb{C}/\Gamma)}(D(\alpha)) \geq 2$$

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Bands: $\{E_j(\alpha, \mathbf{k})\}_{j \in \mathbb{Z} \setminus \{0\}} = \text{Spec}_{L_0^2} H_{\mathbf{k}}(\alpha), \quad E_{\pm 1}(\alpha, \mathbf{0}) = E_{\pm 1}(\alpha, -\mathbf{i}) = 0.$

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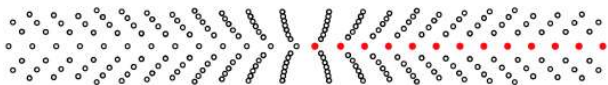
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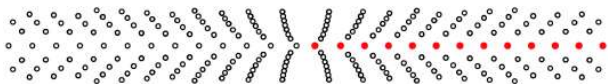
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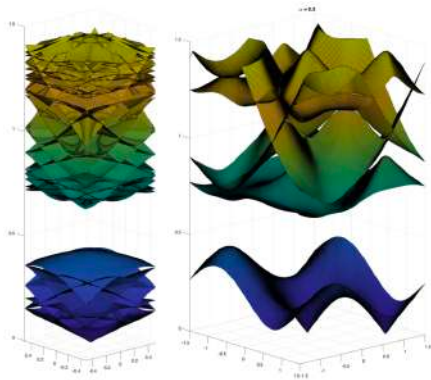
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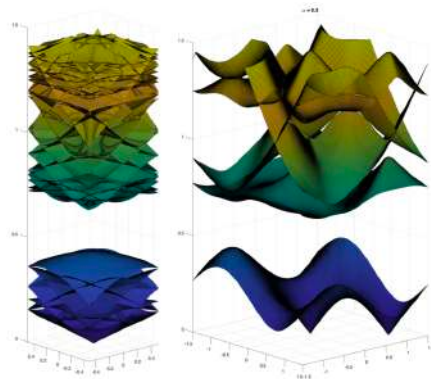
Flat bands

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Theorem (BHZ '22; implicit in BEWZ '20)

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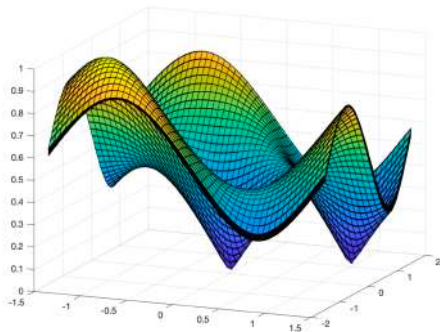
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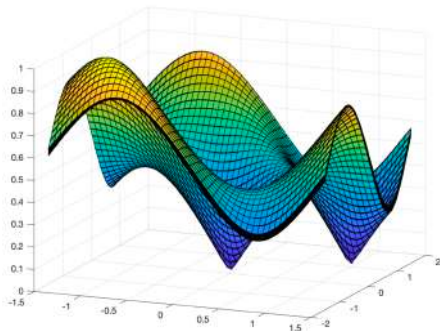
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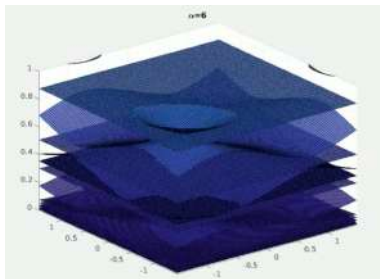
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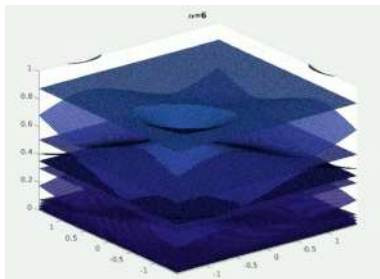
Rescaled plots remain almost fixed at $\mathbf{k} \mapsto |U(-4\sqrt{3}\pi i \mathbf{k}/9)|$

Exponential squeezing of bands

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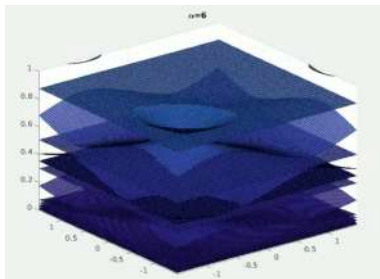
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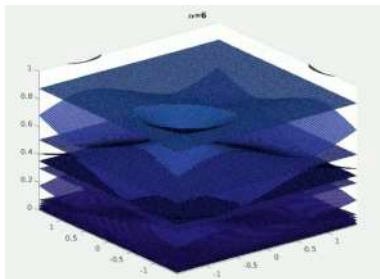


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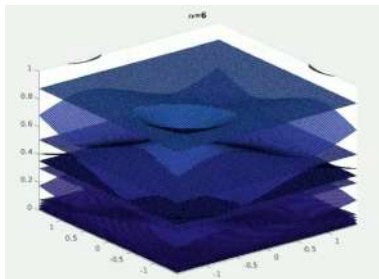
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Hörmander'69, Sato-Kawai-Kashiwara'73...Dencker-Sjöstrand-Z'04

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Essential step: $\exists u_h$ supported in $B(x_0, h^{\frac{1}{2}-})$ such that

$$\forall N \exists C_N \quad \|Pu_h\|_{L^2} \leq C_N h^N, \quad \|u_h\|_{L^2} = 1$$

Sato–Kawai–Kashiwara '73, Dencker–Sjöstrand–Z '04: if a_α 's are analytic functions then

$$\exists c > 0 \quad \|Pu_h\|_{L^2} \leq e^{-c/h}, \quad \|u_h\|_{L^2} = 1$$

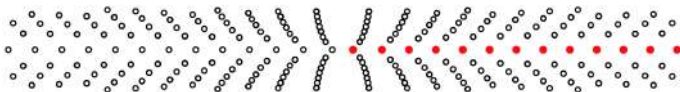
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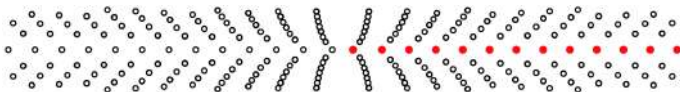
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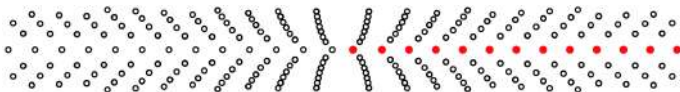
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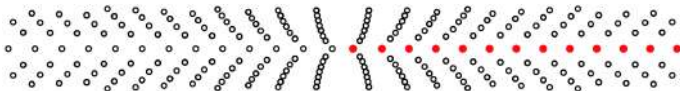


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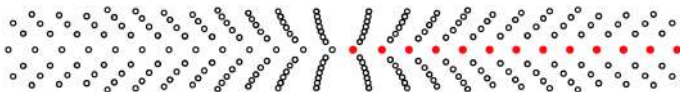


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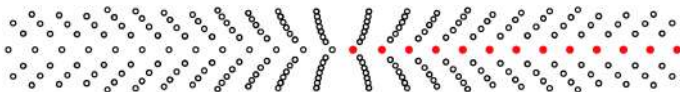
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BEWZ '21 (motivated by **LW**): $|\mathcal{A} \cap \mathbb{R}_+| \geq 2$

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Consequently, the flat band of $H(\alpha_*)$ is *simple*.

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4	5.276497782985	1.5251
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6	8.3129991933	1.5182
7	9.829066969	1.5161
8	11.34534068	1.5163
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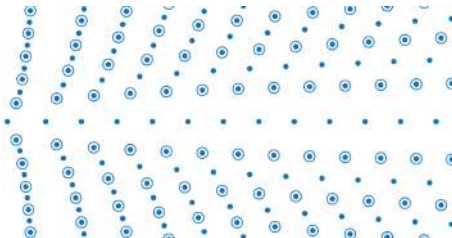
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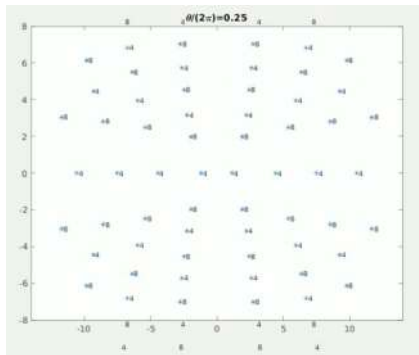


Works for general potentials with $\mathbb{Z}_3^2 \rtimes \mathbb{Z}_3$ symmetries

$$U_\theta(z) := \sum_{k=0}^2 \omega^k (\cos^2 \theta e^{\frac{1}{2}(\bar{z}\omega^k - z\bar{\omega}^k)} + \sin^2 \theta e^{\bar{z}\omega^k - z\bar{\omega}^k})$$

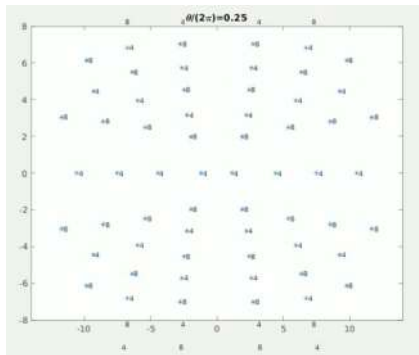
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Theorem (BHZ '22) *For a generic potential flat bands are simple.*

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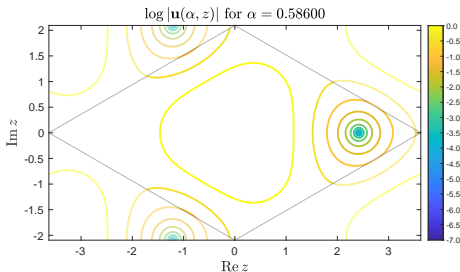
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Felix Klein 1920: *When I was a student, abelian functions were, as an effect of the Jacobian tradition, considered the uncontested summit of mathematics, and each of us was ambitious to make progress in this field. And now? The younger generation hardly knows abelian functions.*

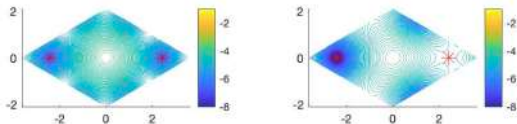
Fine structure of eigenfunctions

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For $\mathbf{k} \in \Gamma^*/3\Gamma^*$ we determine which representation of G_3 (Heisenberg group over \mathbb{Z}_3), $u_{\mathbf{k}}$ falls into:

Fine structure of eigenfunctions

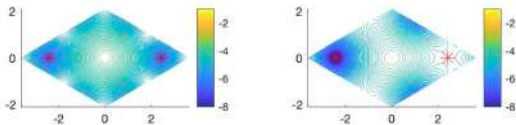
For $\mathbf{k} \in \Gamma^*/3\Gamma^*$ we determine which representation of G_3 (Heisenberg group over \mathbb{Z}_3), $u_{\mathbf{k}}$ falls into:



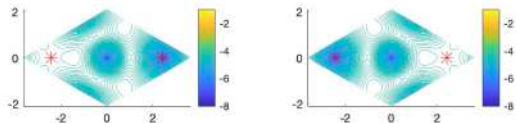
$\log |w|$ of the two component of $\mathbf{u}_0 \in L^2_{\rho_{0,0}} \subset L^2_0$, both vanishing at $-z_5$. Except for vanishing at $-z_5$ this eigenstate exists for all α 's.

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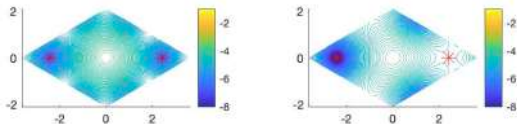


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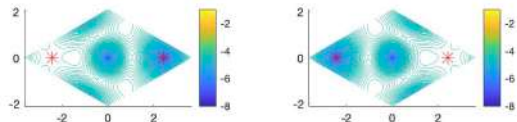

$$\log |w| \text{ of components of } \mathbf{u}_i \in L_{\rho_{2,1}}^2 \subset L_i^2.$$

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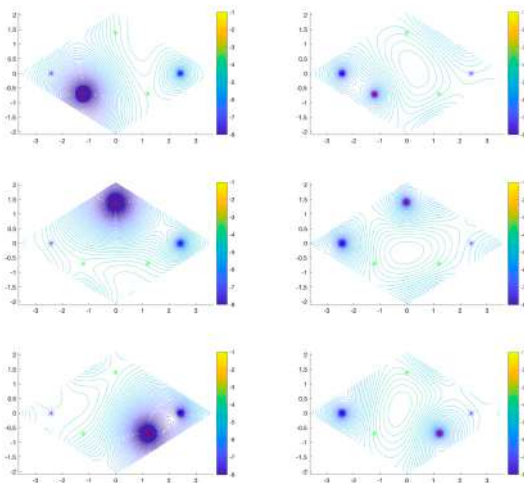
$\log |w|$ of components of $\mathbf{u}_i \in L^2_{\rho_{2,1}} \subset L^2_i$.

This is the state considered by [Cano et al '21](#) with additional symmetry $[\psi(z), \varphi(z)] \mapsto [-\varphi(-z), \psi(-z)]$.

Fine structure of eigenfunctions

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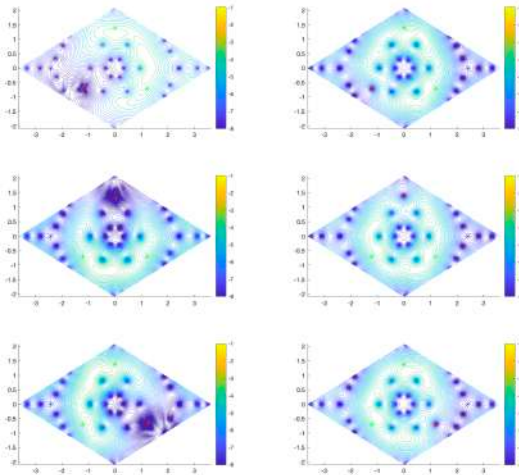
Three dimensional representations come from $\{\pm\omega^k/\sqrt{3}\}_{k=0}^2$:



$\alpha = \alpha_1$ (first magic angle): vanishing determines symmetries

Fine structure of eigenfunctions

Three dimensional representations come from $\{\pm\omega^k/\sqrt{3}\}_{k=0}^2$:



$\alpha = \alpha_5$ (fifth magic angle): vanishing determines symmetries;
despite appearances $\mathbf{u}_{\omega^k/\sqrt{3}}$ do not vanish at other points.

Chern connection and curvature

$\mathbb{C}/3\Gamma^* \ni \mathbf{k} \rightarrow u_{\mathbf{k}} \in L^2_0(\mathbb{C}/\Gamma)$ defines a holomorphic line bundle

Ledwith et al '21

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Standard deviation: $|\mathbb{C}/3\Gamma^*|^{-1} \int_{\mathbb{C}/3\Gamma^*} (H(k)/2\pi + c_1)^2 dm(\mathbf{k})$

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<i>Magic angle</i>	0.58	2.22	3.75	5.27	6.79	8.31
<i>std</i>	0.2834	0.1502	0.1333	0.1611	0.1965	0.2362
<i>Magic angle</i>	9.82	11.34	12.86	14.37	15.89	17.40
<i>std</i>	0.2674	0.2904	0.3518	0.3835	0.3346	0.2790

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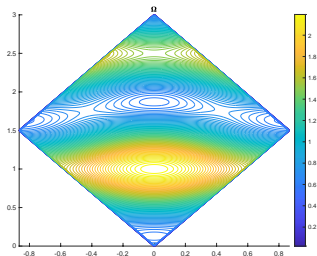
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$\alpha_1 \simeq 0.58$; concentration at \mathbf{i}

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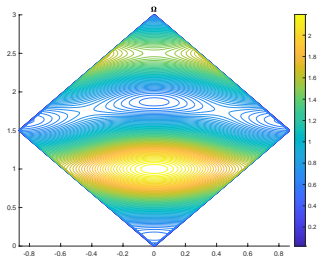
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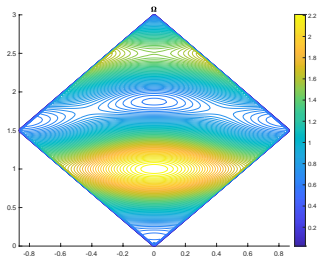
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$\alpha_2 \simeq 2.22$; concentration at $\mathbf{i} \equiv \omega i \pmod{3\Gamma^*}$

Chern connection and curvature

$$\mathbb{C}/3\Gamma^* \ni \mathbf{k} \rightarrow u_{\mathbf{k}} \in L^2_0(\mathbb{C}/\Gamma) \text{ defines a holomorphic line bundle}$$

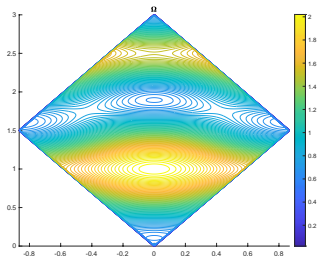
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$$\alpha_3 \simeq 3.75; \text{ concentration at } \mathbf{i} \equiv \omega i \pmod{3\Gamma^*}$$

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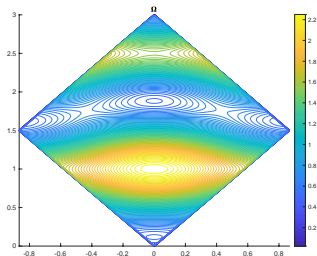
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$$\alpha_4 \simeq 5.27; \text{ concentration at } \mathbf{i} \equiv \omega i \pmod{3\Gamma^*}$$

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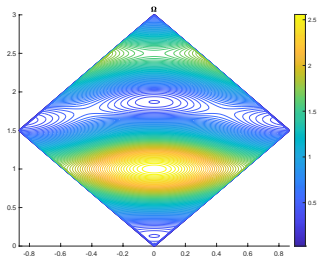
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$$\alpha_5 \simeq 6.79; \text{ concentration at } \mathbf{i} \equiv \omega i \pmod{3\Gamma^*}$$

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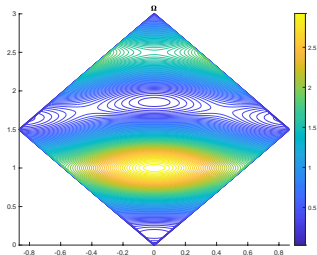
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$$\alpha_6 \simeq 8.31; \text{ concentration at } \mathbf{i} \equiv \omega i \pmod{3\Gamma^*}$$

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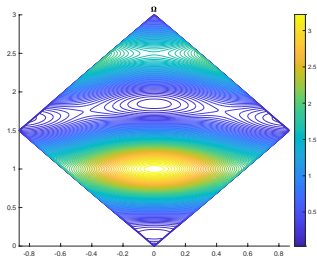
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$$\alpha_7 \simeq 9.82; \text{ concentration at } \mathbf{i} \equiv \omega i \pmod{3\Gamma^*}$$

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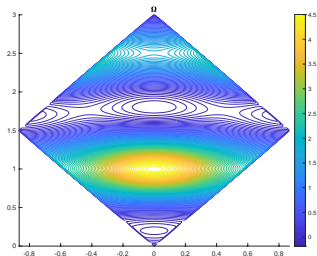
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$\alpha_8 \simeq 11.34$; concentration at $\mathbf{i} \equiv \omega i \pmod{3\Gamma^*}$

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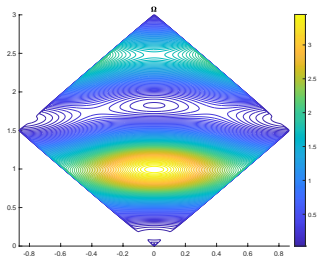
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 $\alpha_9 \simeq 12.96$; concentration at $\mathbf{i} \equiv \omega i \pmod{3\Gamma^*}$

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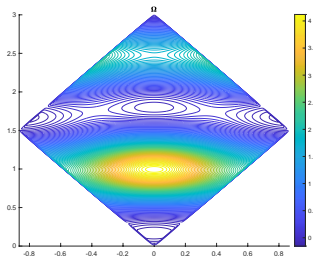
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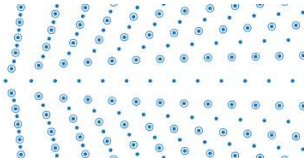

$$\alpha_{10} \simeq 14.37; \text{ concentration at } \mathbf{i} \equiv \omega i \pmod{3\Gamma^*}$$

Some mathematical open problems

- ▶ Multiplicity issues; a stronger generic simplicity statement

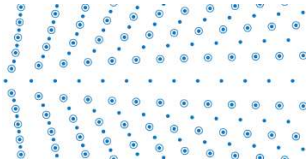
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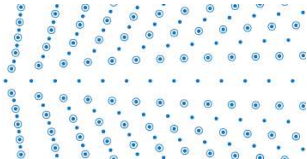
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- The fixed “shape” of the first band; what is a heuristic explanation?

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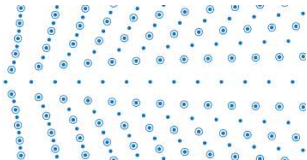
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- Significance and explanation of the curvature “peak” at $\mathbf{k} = \mathbf{i}$

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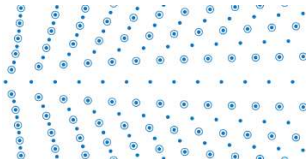
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- The fixed “shape” of the first band; what is a heuristic explanation?
- Significance and explanation of the curvature “peak” at $\mathbf{k} = \mathbf{i}$
- Asymptotics of $\alpha \in \mathcal{A} \cap \mathbb{R}_+$; in particular $\Delta\alpha \simeq \frac{3}{2}$?

Some mathematical open problems

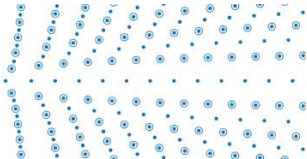
- Multiplicity issues; a stronger generic simplicity statement



- The fixed “shape” of the first band; what is a heuristic explanation?
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