

# The fan theorem and convexity

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- ▶  $\{0, 1\}^*$  the set of finite binary sequences
- ▶  $u, v, w \in \{0, 1\}^*$
- ▶  $|u|$  the length of  $u$
- ▶  $\bar{u}n$  the restriction of  $u$  to the first  $n$  elements
- ▶  $u * v$  the concatenation of  $u$  and  $v$
- ▶  $i \in \{0, 1\}$
- ▶  $\alpha, \beta$  infinite binary sequences

$B \subseteq \{0,1\}^*$  is

- ▶ *detachable* if  $\forall u (u \in B \vee u \notin B)$
- ▶ a *bar* if  $\forall \alpha \exists n (\bar{\alpha}n \in B)$
- ▶ a *uniform bar* if  $\exists N \forall \alpha \exists n \leq N (\bar{\alpha}n \in B)$

**FAN** every detachable bar is a uniform bar

neither provable nor falsifiable in Bishop's constructive mathematics

Lemma (Julian, Richman 1984)

The following are equivalent:

- ▶ FAN
- ▶  $f : [0, 1] \rightarrow \mathbb{R}^+ \text{ u/c} \Rightarrow \inf f > 0$

Lemma (B., Svindland 2016)

$$f : [0, 1] \rightarrow \mathbb{R}^+ \text{ u/c} + \mathbf{convex} \Rightarrow \inf f > 0$$

Is there a corresponding extra condition on bars such that FAN becomes constructively valid?

$$u < v : \Leftrightarrow |u| = |v| \wedge \exists i < |u| (\bar{u}i = \bar{v}i \wedge u_i = 0 \wedge v_i = 1)$$

$$u \leq v : \Leftrightarrow u = v \vee u < v.$$

$A \subseteq \{0, 1\}^*$  is

► *convex* if

$$u \leq v \leq w \wedge u \in A \wedge w \in A \Rightarrow v \in A$$

► *co-convex* if  $\{0, 1\}^* \setminus A$  is convex

**Proposition.** *Every detachable co-convex bar is a uniform bar.*

Fix a detachable co-convex bar  $B$ . We can assume that  $B$  is *closed under extension*:

$$u \in B \Rightarrow u * 0 \in B \wedge u * 1 \in B$$

$u$  is *secure* if

$$\exists n \forall w \in \{0, 1\}^n (u * w \in B)$$

**Claim 1.** *For every  $u$ , either  $u * 0$  is secure or  $u * 1$  is secure.*

There exists a function

$$F : \{0, 1\}^* \rightarrow \{0, 1\}$$

such that

$$\forall u \ (u * F(u) \text{ is secure}) .$$

Define  $\alpha$  by

$$\alpha_n = 1 - F(\bar{\alpha}n).$$

**Claim 2.**  $\forall n \forall u \in \{0, 1\}^n (u \neq \bar{\alpha}n \Rightarrow u \text{ is secure})$

There exists  $n$  such that  $\bar{\alpha}n$  is secure. Therefore, every  $u$  of length  $n$  is secure. Therefore,  $B$  is a uniform bar.





Proof of Claim 1. For

$$\beta := 1 * 0 * 0 * 0 * \dots$$

there exists a positive  $l$  with  $\bar{\beta}l \in B$ . Set  $m = l - 1$ . By co-convexity of  $B$ , we either have

$$\{v \mid v \leq \bar{\beta}l\} \subseteq B \quad \text{or} \quad \{v \mid \bar{\beta}l \leq v\} \subseteq B.$$

In the first case,




$$0 * w \in B$$

for every  $w$  of length  $m$ , which implies that  $0$  is secure. In the second case,

$$1 * w \in B$$

for every  $w$  of length  $m$ , which implies that  $1$  is secure.



-  Josef Berger and Gregor Svindland, *Convexity and constructive infima*, Archive for Mathematical Logic (2016)
-  Josef Berger and Gregor Svindland, *A separating hyperplane theorem, the fundamental theorem of asset pricing, and Markov's principle*, Annals of Pure and Applied Logic (2016)
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