

# Convexity and constructive minima

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$X$  compact metric space and  $f : X \rightarrow \mathbb{R}$  uniformly continuous

the **infimum** of  $f$  is given by

$$\inf f = \inf \{f(x) \mid x \in X\}$$

$x$  is a **minimum point** of  $f$  if

$$f(x) = \inf f$$

$f$  has **at most one minimum point** if

$$d(x, y) > 0 \Rightarrow \inf f < f(x) \vee \inf f < f(y)$$

In Bishop's constructive mathematics, the following statements are equivalent:

(FAN) Brouwer's fan theorem for decidable bars

(POS) each uniformly continuous map

$$f : X \rightarrow \mathbb{R}^+$$

on a compact metric space has positive infimum

(MIN!) each uniformly continuous map

$$f : X \rightarrow \mathbb{R}$$

on a compact metric space with at most one minimum point has a minimum point

recently!

In a convex setting, the statements (FAN) and (POS) are constructively provable.

# Constructive version of (FAN)

## Proposition 1

*Every co-convex bar is a uniform bar.*



Josef Berger and Gregor Svindland, *Brouwer's fan theorem and convexity*. Submitted

## Constructive version of (POS)

A function  $f : C \rightarrow \mathbb{R}$ , where  $C$  is a convex subset of  $\mathbb{R}^m$ , is called *quasi-convex* if

$$f(\lambda x + (1 - \lambda)y) \leq \max(f(x), f(y))$$

for all  $\lambda \in [0, 1]$  and  $x, y \in C$ .

### Proposition 2

If  $C \subseteq \mathbb{R}^m$  is compact and convex and

$$f : C \rightarrow \mathbb{R}^+$$

is quasi-convex and uniformly continuous, then  $\inf f > 0$ .



Josef Berger and Gregor Svindland, *Convexity and constructive infima*. Arch. Math. Logic 55 (2016) 873–881

new!

In a convex setting, the statement (MIN!) is constructively provable.

## Constructive version of (MIN!)

### Proposition 3

*Fix a convex and compact subset  $C$  of  $\mathbb{R}^m$  and suppose that  $f : C \rightarrow \mathbb{R}$  has at most one minimum point and is quasi-convex and uniformly continuous. Then  $f$  has a minimum point.*



## Proof of Proposition 3

Suppose that  $f : C \rightarrow \mathbb{R}$  has at most one minimum point. We can assume that  $\inf f = 0$ .

### Lemma 1

*Suppose that  $A$  and  $B$  are compact convex subsets of  $C$  such that  $d(a, b) > 0$  for all  $a \in A$  and  $b \in B$ . Then*

$$\inf f \upharpoonright A > 0 \quad \vee \quad \inf f \upharpoonright B > 0.$$

### Proof.

Apply Proposition 2 to the function

$$A \times B \ni (a, b) \mapsto \max(f(a), f(b)) .$$



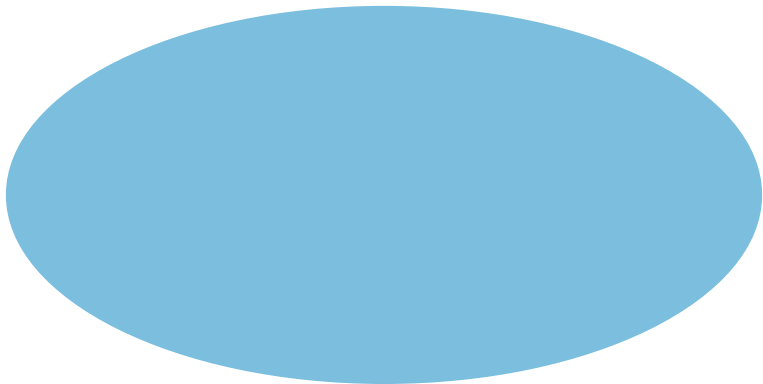
## Proof of Proposition 3

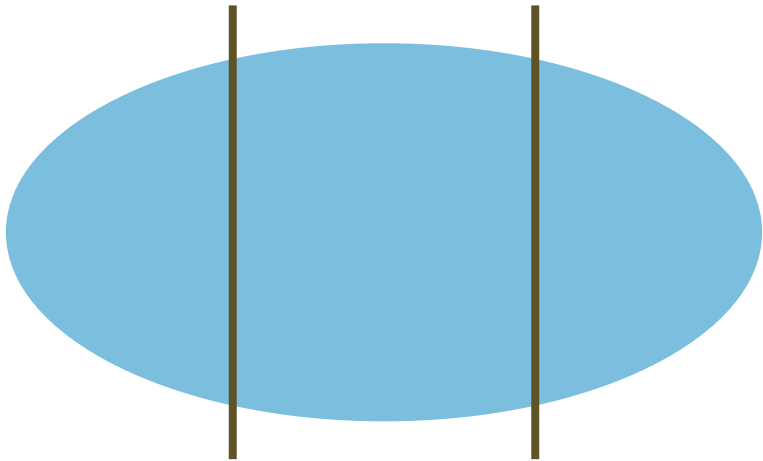
The **diameter** of a compact set  $X$  is defined by

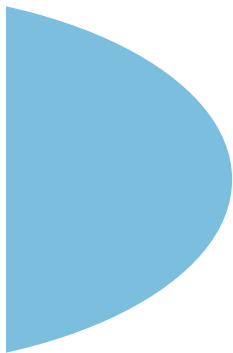
$$\text{diam } X = \sup \{d(x, y) \mid x, y \in X\}.$$

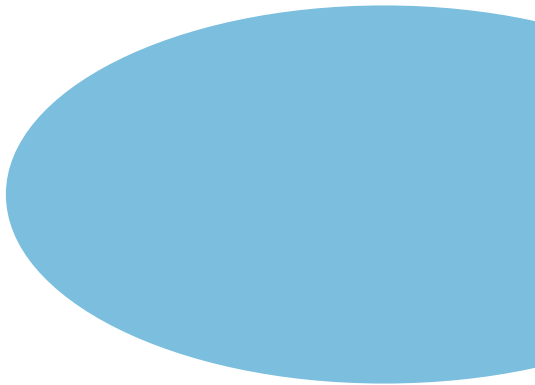
We construct a sequence  $(C_n)$  of subsets of  $C$  with vanishing diameter such that  $\inf f \upharpoonright C_n = 0$ .

Then, we fix  $x_n \in C_n$  with  $f(x_n) < 1/n$ . The sequence  $(x_n)$  is Cauchy and its limit is a minimum point of  $f$ .









## Finite dimensional spaces

A normed space  $V$  is *finite-dimensional* if there exist  $b_1, \dots, b_m \in V$  such that the linear mapping

$$\kappa : \mathbb{R}^m \rightarrow V, \lambda \mapsto \sum_{i=1}^m \lambda_i b_i$$

is bijective. (Injective in the sense that  $\|\lambda\| > 0$  implies  $\|\kappa(\lambda)\| > 0$ .) In this case, both  $\kappa$  and its inverse  $\kappa^{-1}$  are uniformly continuous.

# Finite dimensional spaces

## Proposition 4

*Fix a convex and compact subset  $C$  of a finite-dimensional normed space and suppose that  $f : C \rightarrow \mathbb{R}$  has at most one minimum point and is quasi-convex and uniformly continuous. Then  $f$  has a minimum point.*



## Quasiproximinal sets

Let  $Y$  be a subset of a normed linear space  $X$ . Fix  $a \in X$ . Let  $f_a^Y$  be the function

$$f_a^Y : Y \ni y \mapsto d(y, a).$$

The set  $Y$  is **quasiproximinal** if for every  $a \in X$  the implication

$f_a^Y$  has at most one minimum point  $\Rightarrow f_a^Y$  has a minimum point

is valid.

# A corollary: the constructive fundamental theorem of approximation theory

## Corollary 1

*Every finite-dimensional subspace  $V$  of a real normed space  $X$  is quasiproximinal.*



Douglas S. Bridges, *A Constructive Proximality Property of Finite-dimensional Linear Subspaces*. Rocky Mountain Journal of Mathematics 11, Number 4 (1981) 491–497

## Proof of Corollary 1

Fix  $a \in X$  and suppose that  $f = f_a^V$  has at most one minimum point. Fix  $b \in V$ . Set

$$V_0 = \{v \in V \mid d(v, b) \leq 3 \cdot d(a, b)\}.$$

Then  $V_0$  is convex and compact. The function  $f \upharpoonright V_0$  is uniformly continuous, quasi-convex and has at most one minimum point. Proposition 4 implies that  $f \upharpoonright V_0$  has a minimum point  $v_0$ , which is also a minimum point of  $f$ .

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$$2 \cdot d(a, b) \leq d(v, b) \leq d(v, a) + d(a, b),$$




which implies

$$f(v_0) \leq f(b) \leq f(v).$$

(FAN)  $\Leftrightarrow$  (POS)

-  Josef Berger and Hajime Ishihara, *Brouwer's fan theorem and unique existence in constructive analysis*. Math. Log. Quart. 51, No. 4 (2005) 360–364
-  William H. Julian and Fred Richman, *A uniformly continuous function on  $[0, 1]$  that is everywhere different from its infimum*. Pacific Journal of Mathematics 111, No 2 (1984) 333–340

(FAN)  $\Leftrightarrow$  (MIN!)

-  Josef Berger and Hajime Ishihara, *Brouwer's fan theorem and unique existence in constructive analysis*. Math. Log. Quart. 51, No. 4 (2005) 360–364
-  Josef Berger, Douglas Bridges, and Peter Schuster, *The fan theorem and unique existence of maxima*. The Journal of Symbolic Logic, Volume 71, Number 2 (2006) 713–720
-  Peter Schuster, 'Unique solutions.' Math. Log. Quart. 52 (2006), 534–539; Corrigendum: Math. Log. Quart. 53 (2007), 214

## Brouwer's fan theorem

- ▶  $\{0, 1\}^*$  the set of finite binary sequences  $u, v, w$
- ▶  $|u|$  the length of  $u$ , i.e.

for  $u = (u_0, \dots, u_{n-1})$  we have  $|u| = n$

- ▶  $u * v$  the concatenation of  $u$  and  $v$ , i.g.

$$(0, 1) * (0, 0, 1) = (0, 1, 0, 0, 1)$$

- ▶  $\alpha, \beta, \gamma$  infinite binary sequences
- ▶  $\bar{\alpha}n$  the restriction of  $\alpha$  to the first  $n$  elements, i.e.

$$\bar{\alpha}n = (\alpha_0, \dots, \alpha_{n-1})$$

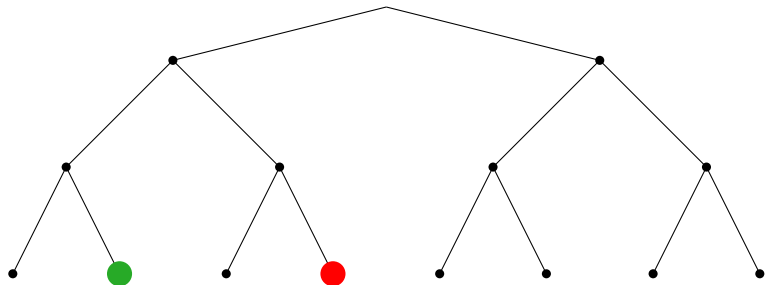
$B \subseteq \{0, 1\}^*$  is

- ▶ *detachable* if  $\forall u (u \in B \vee u \notin B)$
- ▶ a *bar* if  $\forall \alpha \exists n (\bar{\alpha}n \in B)$  (“every  $\alpha$  hits  $B$ ”)
- ▶ a *uniform bar* if  $\exists N \forall \alpha \exists n \leq N (\bar{\alpha}n \in B)$

**FAN** every detachable bar is a uniform bar



## Constructive version of (FAN)



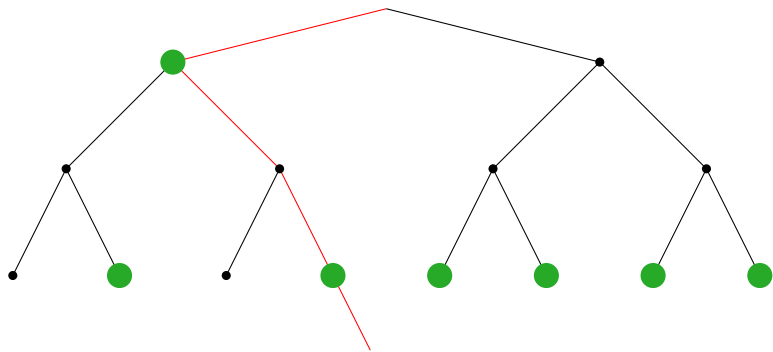
$$(0, 0, 1) < (0, 1, 1)$$

$$u \leq v :\Leftrightarrow u < v \vee u = v$$

## Constructive version of (FAN)

A subset  $B$  of  $\{0, 1\}^*$  is *co-convex* if for every  $\alpha$  which hits  $B$  there exists an  $n$  such that either

$$\{v \mid v \leq \bar{\alpha}n\} \subseteq B \quad \text{or} \quad \{v \mid \bar{\alpha}n \leq v\} \subseteq B.$$



# Constructive version of (FAN)

## Proposition 1

*Every co-convex bar is a uniform bar.*